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6 DOF EKF SLAM in Underwater Environments

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Introduction

Problem Statement Related Work

3D Transformation

 $\begin{array}{l} \text{Composition} \ \oplus \\ \text{Inversion} \ \oplus \\ \text{Jacobian Matrices} \end{array}$

Image Registration

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Prediction Stage Augmentation Stage Update Stage

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Problem Statement

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Problem Statement

- Accessibility of the sub-aquatic world is important for research and industry
- AUV^1 promising advantages compared to ROV^2
 - Untethered, independent, self-powered, ...
- Question: How to perform the localization of AUVs
- Accurate localization is important for the mission success
 - Maintenance, Rescue Operations, Sampling, Inspections, ...

¹Autonomous Underwater Vehicle ²Remotely Operated Vehicle

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Vehicle Localization

- **pose** = Position and Orientation
- 6 Degrees of Freedom
 - 3 Translation
 - 3 Rotation



- Vehicle State X = pose (in this work)
- collection of poses = **State Vector** → **Trajectory**



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Vehicle Localization

- Several possibilities
- Using:
 - IMU (velocity, orientation, and gravitational forces)
 - Odometry (Acoustic Sensors or Cameras)
 - Sensor Fusion
- Prone to Drift
- Visual Odometry, because Cameras
 - + Spatial and Temporal Resolution
 - + More Environmental Data
 - Dependent on light and visibility



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SLAM

Visual Odometry

Introd

- Displacement of two consecutive Images
- Estimation of the Absolute Motion (Prone to drift)
- SLAM (Simultaneous Localization And Mapping)
 - Most successful approach
 - Computes pose
 - Refines pose of landmarks of environment
- Extended Kalman Filtering (EKF)

= Visual EKF SLAM



Displacement in x-Direction

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EKF (In a Nutshell)

- Three Stages
 - 1. Prediction Stage
 - Predicting vehicle's localization (visual odometry)
 - Prone to drift
 - Uncertainty is modelled with covariance matrix
 - 2. State Augmentation Stage
 - Prediction is added to the end of X
 - Uncertainty accumulates over time
 - 3. Update Stage
 - Detection of Loop Closings
 - Provide the system with more reliable Data
 - Update X



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Related Work

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Related Work

- Literature is scarce, but deals mainly with:
 - Correcting the odometry with the result of the Image Registration
 - Adding Landmarks to X
 - + Continous Correction of pose and landmarks
 - + Whole X is corrected
 - Increasing complexity over time (X gets big)
 - On-line usage no longer possible

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Related Work

• [Schattschneider et al., 2011]

- Underwater SLAM
- Stereo Camera System used for ship hull inspection
- 3D Landmarks used to detect Loop Closings
- State = [poses , landmarks]
- [Eustice et al., 2008]
 - Underwater SLAM
 - State = [linear velocity, acceleration and angular rate]
 - Landmarks not saved in X
 - But: Image Registration used at every Iteration

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Related Work

- [This study]
 - Underwater SLAM
 - Stereo Camera System
 - Obtains 3D Environmental Information
 - AUV is moving in 3D
 - *X* = [poses]
 - Orientation is represented as a quaternion
 - Full 6 DOF Transformation
 - Different to [Burguera et al., 2014] (depth estimated by pressure sensor)
 - Jacobian Matrices of 3D Transformation
 - Application of EKF to correct the localisation

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3D Transformation

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3D Transformation

- Classical Transformation for 6 DOF
 - composition \oplus
 - inversion \ominus



- Jacobian Matrices J_\oplus and J_\ominus
 - Robot Transformation is non-linear
 - Direct Covariance computation in not possible
 - Approximation: Linearisation of transformation functions



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$\textbf{Composition} \ \oplus$



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$\textbf{Composition}\ \oplus$

- Adds a relative Transformation h to an absolute State X^{\times}
- Result: New absolute pose $X_+ = \begin{bmatrix} X_+^t \\ X_+^r \end{bmatrix}$



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$\textbf{Composition}\ \oplus$

- Composition: $X_+ = \begin{bmatrix} X_+^t \\ X_+^t \end{bmatrix}$
- Quaternions (Orientation)

•
$$q = \begin{bmatrix} q_w & q_1 & q_2 & q_3 \end{bmatrix}$$

- faster computation
- no trigonometric functions
- no gimbal lock
- Attention

• Accumulation of Orientation = Multiplication of Quaternions

• $X_+^r = q^T \cdot q^P$

• Quaternion to rotation Matrix A

$$A = \begin{bmatrix} -2 \cdot q_2^2 - 2 \cdot q_3^2 + 1 & 2 \cdot q_1 \cdot q_2 - 2 \cdot q_3 \cdot q_w & 2 \cdot q_1 \cdot q_3 + 2 \cdot q_2 \cdot q_w & 0\\ 2 \cdot q_1 \cdot q_2 + 2 \cdot q_3 \cdot q_w & -2 \cdot q_1^2 - 2 \cdot q_3^2 + 1 & 2 \cdot q_2 \cdot q_3 - 2 \cdot q_1 \cdot q_w & 0\\ 2 \cdot q_1 \cdot q_3 - 2 \cdot q_2 \cdot q_w & 2 \cdot q_2 \cdot q_3 + 2 \cdot q_1 \cdot q_w & -2 \cdot q_1^2 - 2 \cdot q_2^2 + 1 & 0\\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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$\mathsf{Inversion} \, \ominus \,$

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Inversion \ominus

- Inverts a Transformation h
- With \oplus used to get relative Transformations from absolutes



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$\mathsf{Inversion} \, \ominus \,$

• Task: Invert
$$T = \begin{bmatrix} x, y, z \\ t \end{bmatrix}$$
 $\begin{bmatrix} q_w, q_1, q_2, q_3 \\ A \end{bmatrix}$
 $\vec{n} \quad \vec{o} \quad \vec{a} \quad \vec{p} \\ \begin{pmatrix} A & t \\ 0 & 0 & 0 \end{bmatrix}$

$$\begin{pmatrix} A & t \\ 0 & 0 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} & -\vec{n} \circ \vec{p} \\ A^T & -\vec{o} \circ \vec{p} \\ & & -\vec{a} \circ \vec{p} \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

•
$$q^{-1} = \begin{bmatrix} q_w & -q_1 & -q_2 & -q_3 \end{bmatrix}$$

• Result is

$$\ominus X = \begin{bmatrix} -\vec{n} \circ \vec{p} \\ -\vec{o} \circ \vec{p} \\ -\vec{a} \circ \vec{p} \\ q^{-1T} \end{bmatrix}$$

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Jacobian Matrices $\textit{J}_{1\oplus},~\textit{J}_{2\oplus}~\text{and}~\textit{J}_{\ominus}$

- Necessary to compute the uncertainty
- Apply: Taylor Series of first order
- = **Covariance**: Uncertainty with zero mean random Gaussian noise
- Jacobian for each Transformation \oplus and \ominus
- Jacobian Matrix in general

•
$$\nabla f = \frac{\partial f}{\partial x}|_{\hat{x}}$$



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Jacobian Matrices $J_{1\oplus}$, $J_{2\oplus}$ and J_{\ominus}

• $J_{1\oplus}$ and $J_{2\oplus}$

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- Composition \oplus has two parameters (*T* and *P*)
- Each: Jacobian Matrix of $X_+ \hookrightarrow J_{1\oplus}$ and $J_{2\oplus}$

$$J_{16} = \begin{bmatrix} 1 & 0 & 0 & 2 \cdot q_1^X \cdot z^Y - 2 \cdot q_1^X \cdot y^Y & 2 \cdot q_1^X \cdot y^Y + 2 \cdot q_1^X \cdot z^Y & 2 \cdot q_1^X \cdot y^Y - 4 \cdot q_2^X \cdot z^Y + 2 \cdot q_1^X \cdot z^Y & 2 \cdot q_1^X \cdot z^Y - 2 \cdot q_1^X \cdot y^Y - 4 \cdot q_1^X \cdot z^Y \\ 0 & 1 & 2 \cdot q_1^X \cdot z^Y - 2 \cdot q_1^X \cdot z^Y - 2 \cdot q_1^X \cdot z^Y + 2 \cdot q_1^X \cdot z^Y & 2 \cdot q_1^X \cdot z^Y + 2 \cdot q_1^X \cdot z^Y + 2 \cdot q_1^X \cdot z^Y \\ 0 & 1 & 2 \cdot q_1^X \cdot y^Y - 2 \cdot q_2^X \cdot z^Y + 2 \cdot q_1^X \cdot y^Y - 2 \cdot q_1^X \cdot z^Y & 2 \cdot q_1^X \cdot z^Y + 2 \cdot q_1^X \cdot z^Y + 2 \cdot q_1^X \cdot z^Y \\ 0 & 0 & 0 & q_1^Y & 2 \cdot q_1^X \cdot y^Y - 2 \cdot q_2^X \cdot z^Y + 2 \cdot q_2^X \cdot y^Y + 2 \cdot q_1^X \cdot z^Y + 2 \cdot q$$

• Covariance of Composition ⊕:

$$C_{+} = J_{1\oplus} \cdot C^{1} \cdot J_{1\oplus}^{T} + J_{2\oplus} \cdot C^{2} \cdot J_{2\oplus}^{T}$$

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Jacobian Matrices $J_{1\oplus}$, $J_{2\oplus}$ and J_{\ominus}

- *J*⊖
 - Composition \ominus has one parameter
 - Derivation will give us J_{\ominus}



• Covariance of Inversion ⊖:

$$C_{-} = J_{\ominus} \cdot C \cdot J_{\ominus}^{T}$$

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Image Registration

- Result: 3D camera Transformation z_k between two images
- Images have to be overlapped
- Detects Loop Closings: Update Stage (EKF)
- Without: Trajectory cannot be updated



3D Transformation

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Pseudocode

	input : Current Stereo Image pair S_l, S_r and Recorded
	Images I_n
	output : 3D Transformation $[R, t]$
	begin
1	$[F_l, F_r] \leftarrow \texttt{stereoMatching}(S_l, S_r);$
2	for $I_i \in I_n$ do
3	$F_t \leftarrow \texttt{findFeature}(I_i);$
4	if match $(F_l, F_t) == true$ then
5	break;
	else
6	<i>continue</i> ;
7	$[F_l, F_r] \leftarrow \texttt{updateFeature} \ (F_l, F_r);$
8	$P_{3D} \leftarrow \texttt{calc3DPoints}(F_l, F_r);$
9	$[R,t] \leftarrow \texttt{solvePnPRansac} (F_t, P_{3D})$
	end

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findFeature(*I_i*)

- Important function
- Reliable Feature are very important
- SIFT Features
 - David G. Lowe (1999)
 - Scale invariant
 - Reliable
 - High reproducibility
 - Feature: 128 dimensional descriptor

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$stereoMatching(S_l, S_r)$

- First: findFeature(I_i) with S_I, S_r
- Comparing the squared differences of each descriptor
- Differences reaches a certain treshold: Matched
- Additional: Usage of RANSAC



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Pseudocode

ingorithm I. mage Registration	Algorithm	1:	Image	Registration
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```
input : Current Stereo Image pair S_l, S_r and Recorded
              Images I_n
  output: 3D Transformation [R, t]
   begin
        [F_l, F_r] \leftarrow \texttt{stereoMatching}(S_l, S_r);
1
         for I_i \in I_n do
2
3
             F_t \leftarrow \texttt{findFeature}(I_i);
             if match (F_l, F_t) == true then
4
5
                   break;
             else
6
                   continue;
        [F_l, F_r] \leftarrow updateFeature (F_l, F_r);
7
        P_{3D} \leftarrow \texttt{calc3DPoints}(F_l, F_r);
[R, t] \leftarrow \texttt{solvePnPRansac}(F_t, P_{3D})
8
9
  end
```

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$calc3DPoints(F_{l}, F_{r})$

- Result: 3D Points
- Missing depth-value z can be calculated
 - Feature coordinates (x, y)
 - Reprojection Matrix Q

$$Q = egin{bmatrix} 1 & 0 & 0 & -C_x \ 0 & 1 & 0 & -C_y \ 0 & 0 & 0 & f_x \ 0 & 0 & -\frac{1}{T_x} & rac{(C_x-C_{x'})}{T_x} \end{bmatrix}$$

- C_x and C_y optical center
- f_{x} focal length
- $T_x = \text{baseline } \cdot f_x$
- Primed from left Camera, unprimed from right Camera

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$calc3DPoints(F_{I}, F_{r})$

- From 2D to 3D
- Applied for each stereo Matching

$$\begin{bmatrix} X \\ Y \\ Z \\ W \end{bmatrix} = Q \cdot 1 \begin{bmatrix} x_l \\ y_l \\ d \\ 1 \end{bmatrix}$$

• $d = x_l - x_r$ (disparity)

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$solvePnPRansac(F_t, P_{3D})$

- Solves the Perspective N-Point Problem (PnP)
- Estimates a pose transformation
- Minimizes the Reprojection Error between
 - 3D Feature
 - corresponding 2D Feature
- Result: 3D transformation [R, t]

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$solvePnPRansac(F_t, P_{3D})$

- With respect to the Pseudocode
 - S_I is transformed into I_i (if overlap big enough)
 - Transformation is done in 3D



Figure: Left: S_I ; middle: loop closing image I_i . On the right: the transformation of the image registration applied to S_I . The purple color indicates the error of the transformation.

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EKF-SLAM

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	E	NF	-SLA	AIVI		
• EKF: E	Bavesian filter	Al	gorithm 2	: Visual EKF-SLAM		
		iı	$\mathbf{put} : X,$	C, O, C_o, S_l, S_r, C_m	I_n	
 State-I 	stimation of non-linea	r º	utput: Upo	lated state vector X_u ,	covariance C_u and	recorded
system		b	egin	$Iges I_u$		
, 	المحفي بالمتعالين والمعتمين والمتعا	1	;		/* Prediction s	tage */
• 09	sing normally distributed	2	$X_t \leftarrow g$	etLastState(X);		
Ga	aussian noise	3	$C_t \leftarrow g$	etLastCovariance	(C);	
Three	Ctagoo	4	$[X_t^+, C]$	$[t^+] \leftarrow \texttt{composition}($	$(X_t, C_t, O, C_o);$	
	Jlages	5	; v+.	/*	Augmentation s	tage */
1. Pi	rediction Stage	6	$A^+ \leftarrow A^+$	addState $(\Lambda, \Lambda_t);$	(1+).	
2 St	age Augmentation Stage	7	$\downarrow C' \leftarrow i$	addCovariance (C,)	(* Undate s	tage */
2. 50		9	$z \leftarrow im$	ageRegistration (S	S_l, S_r, I_n);	Juge .,
3. U	pdate Stage	10	if image	eRegistration ==)	alse then	
		11	retu	rn;		
			else			
		12	[h,]	$H] \leftarrow calcHkK (X^+,$	z);	
		13		- innovation (n, z) ,	H H C):	
		14		$-C^+ \cdot H^T \cdot S^{-1} \cdot$	$(\Pi, \mathbb{O}_m),$	
		16		$\leftarrow X^+ + K \cdot y_h$:		
		17	C_u	$\leftarrow (1 - K \cdot H) \cdot C^+$;	
		18	$I_u \leftarrow I$.	$\bigcup S_l;$		
		е	nd			

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Prediction Stage

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getLastCovariance(C)

• Takes the last 7×7 Matrix of C



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 $composition(X_t, C_t, O, C_o)$

- Performs Composition \oplus
 - $X_+ = X_t \oplus O$
- Calculates Covariance Matrix

•
$$C_+ = J_{1\oplus} \cdot C^t \cdot J_{1\oplus}^T + J_{2\oplus} \cdot C^o \cdot J_{2\oplus}^T$$

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Augmentation Stage

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addCovariance(C, C_t^+)

• Not only adding (true for diagonal)



- Except for diagonal
- e.q. **B** and **E** are calculated

•
$$\mathbf{B} = \mathbf{A} \cdot J_{1\oplus}^T$$

•
$$\mathbf{E} = J_{1\oplus} \cdot \mathbf{C}$$

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Update Stage

- Dependent on Image Registration
- Main part of EKF
- Executes Kalman Equations
- Corrects State Vector
- Key Features of this study:
 - Calculation of Observation Function h
 - Calculation of **Observation Matrix** H
 - Calculation of Innovation y

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 $calcHkK(X^+, z)$

- observation function h
 - Based on z relative motions from X are calculated
 - $h_k = \ominus X^k \oplus X^2$
 - Comparable h_k (State Vector) and z_k (Image Registration)

• Multiple Loop Closings $h = \begin{bmatrix} h_1 \\ h_2 \\ \vdots \\ h_1 \end{bmatrix}$



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$calcHkK(S_{l}, S_{r}, I_{n})$

- observation matrix H
 - As many rows as Loop Closings (times 7)
 - As many columns as many states are stored in X^+ (times 7)
 - Stores Jacobian Matrices
 - Partially derivatives of h with respect to X^+

$$H = \left. \frac{\partial h}{\partial X^+} \right|_{\hat{X}^-}$$

• Elements of H not referring to used states are 0

$$H = \begin{bmatrix} \mathbf{0} & \mathbf{0} & \frac{\partial h^1}{\partial X^2} & \mathbf{0} & \dots & \mathbf{0} & \frac{\partial h^1}{\partial X^k} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \frac{\partial h^2}{\partial X^3} & \dots & \mathbf{0} & \frac{\partial h^2}{\partial X^k} \end{bmatrix}$$

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innovation(h, z)

- Innovation should be big if z and h are different
- Innovation should be 0 if z and h are similar
- In general: Difference between h and z

• y = z - h

- Translation: subtraction
- Due to quaternions special treatment necessary

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innovation(h, z)

- Different quaternions similar orientation
- $q_z = [0.996, -0.010, 0.014, 0.083] (1.55^{\circ}, -1.38^{\circ}, 9.50^{\circ})$
- $q_h = [-0.996, -0.018, 0.001, -0.083]$ (0.04°, 2.09°, 9.55°)
- $y_q = q_z q_h = [1.992, 0.007, 0.013, 0.166]$
- Solution: Absolute values
- $y_q = |q_z| |q_h|$
- $y_q = [0.0000, -0.0073, 0.0134, -0.0003]$

BD Transformation

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Pseudocode

Algorithm 2: Visual EKF-SLAM input : $X, C, O, C_o, S_l, S_r, C_m I_n$ **output**: Updated state vector X_n , covariance C_n and recorded Images I_u begin /* Prediction stage */ 1 $X_t \leftarrow \texttt{getLastState}(X)$; 2 $C_t \leftarrow \texttt{getLastCovariance}(C);$ 3 $[X_t^+, C_t^+] \leftarrow \text{composition} (X_t, C_t, O, C_o);$ 4 /* Augmentation stage */ 5 $X^+ \leftarrow \texttt{addState}(X, X_t^+);$ 6 $C^+ \leftarrow \texttt{addCovariance}(C, C_*^+);$ 7 8 /* Update stage */ $z \leftarrow imageRegistration (S_l, S_r, I_n);$ 9 if imageRegistration == false then 10 11 return; else $[h, H] \leftarrow \texttt{calcHkK}(X^+, z);$ 12 13 $y \leftarrow \text{innovation}(h, z)$: $S \leftarrow \texttt{innovationCov}(C^+, H, C_m);$ 14 $K \leftarrow C^+ \cdot H^T \cdot S^{-1}$: 15 $X_u \leftarrow X^+ + K \cdot y_k$; 16 $C_u \leftarrow (1 - K \cdot H) \cdot C^+;$ 17 $I_u \leftarrow I_n \bigcup S_l;$ 18 end

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ntroduction	3D Transformation	Image Registration	Visual EKF-SLAM	Results	Conclusion
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- System Used
 - Laptop (Intel core i7 (2 2.9Ghz), 8GB RAM and SSD)
 - Ubuntu 12.04
 - MATLAB R2013a (single CPU core used)
 - The mission was recorded with ROS (Robot Operation System)
 - rosbag provided offline playback (recorded with Fugu-C)
- Set-Up
 - Fugu-C (Bumblebee 2 1032 \times 776 pixel)
 - Watertank inside the UIB (7m imes 4m imes 1.5m)
 - Visual Odometry calculated with LIBVISO2
- Ground Truth: Seabed printed on a Poster



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- Test
 - 23.42m sweeping task
 - Different noise levels
 - System-Response to less accurate Odometry

Noise Level	1	2	3	4	5	6
Covariance	0	3e-9	9e-9	3e-8	5e-7	3e-6

• Error Definition:

- Difference between Ground Truth
 - odometry
 - EKF-SLAM
- Divided by the length of the Trajectory
- Error units are meters per travelled meter

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• Quantitative Results

Noise Level	1	2	3	4	5	6
Covariance	0	3e-9	9e-9	3e-8	5e-7	3e-6
Odom. error ∅	0.038	0.417	0.494	0.806	2.614	6.898
EKF error \varnothing	0.027	0.282	0.285	0.309	0.590	0.953
Improv. (%)	28.9	32.3	42.3	61.6	77.4	86.1

Figure: Comparison between visual odometry and EKF-SLAM trajectory mean error (\varnothing) with respect to the ground truth. Error is measured in meters per traveled meter.

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• Quantitative Results



Figure: Comparison between state mean errors using raw odometry and EKF pose estimates. The standard deviation is set to 0.1σ .

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• Quantitative Results

Separation between frames	2	4	8
Run-Time (min)	8.4	4.3	2.3
error (m)	0.28	0.32	0.39

Figure: Comparison run time of different key-frame separations and error. Used noise level 2.

• Separation of 4 already faster than Mission-Time

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Conclusion

Results

• Qualitative Results Blue: Ground Truth, Black: Odometry, Red: EKF-SLAM



Figure: Example result with a noise level of two. Additionally the eight loop closings are plotted (magenta lines).

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Conclusion

Results

• Qualitative Results Blue: Ground Truth, Black: Odometry, Red: EKF-SLAM



Figure: Example result with a noise level of four.

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• Qualitative Results Blue: Ground Truth, Black: Odometry, Red: EKF-SLAM



Figure: Example result with a noise level of six.

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- Video
 - Separation of 2
 - Noise Level of 3
 - Playback 4x

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Conclusion

Conclusion

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Conclusion

Conclusion

- Summary
 - Pose based visual EKF-SLAM approach
 - Underwater localization
 - Only Stereo Camera Data
 - Pure 6 Degrees of Freedom
 - Orientation is represented as quaternions
 - Improvement up to 86.1%
 - With Separation of 4 already Execution-Time under Mission-Time
- Future Work
 - Fine-Tuning: Measurement and Observation Covariance Matrices
 - Further test in the sea
 - ROS implementation

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Image Registration

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Results

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Literature I

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Conclusion

Autonomous Underwater Vehicles (AUVs)

- Remotely Operated Vehicles (ROVs)
 - Tethered
 - Support Vessels
 - Limited operative range
- Autonomous Underwater Vehicles
 - (Try to) Overcome this limitations
 - Highly repetitive, long or hazardous missions
 - Self-Powered
 - Independent (support ships and weather)
 - Reduction of
 - missions costs
 - human resources
 - execution time

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Conclusion

Applications

- Maintenance
- Rescue Operations
- Surveying
- Infrastructure Inspections
- Sampling

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getLastState(X)

• Takes the last 7 Elements of X

$$X = \begin{bmatrix} \underbrace{x^1 \ y^1 \ z^1 \ q_w^1 \ q_1^1 \ q_2^1 \ q_3^1}_{\text{vehicle pose at 1^{st iteration}}} & \cdots & \underbrace{x^n \ y^n \ z^n \ q_w^n \ q_1^n \ q_2^n \ q_3^n}_{\text{vehicle pose at n^{th iteration}}} \end{bmatrix}^T$$

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innovation(h, z)

- $y_q = q_z q_h = [1.99274, 0.007344, 0.013427, 0.166257]$
- Pure subtraction: Big innovation (not right!)
- Solution: Absolute values
- $y_q = |q_z| |q_h|$
- $y_q = [0.0000, -0.0073, 0.0134, -0.0003]$

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innovationCov
$$(C^+, H C_m)$$

- $S = H \cdot C \cdot H^T + R$
- Measurement Matrix R
- Size of R depends on number of detected Loop Closings

$$R = \begin{bmatrix} C_m & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & C_m & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & C_m \end{bmatrix}$$
(1)