#### Toward the "Cognitive Cockpit": Flight Test Platforms and Methods for Monitoring Pilot Mental State.

Tom Schnell, Operator Performance Laboratory (OPL), University of Iowa Todd Macuda, National Research Council Canada Pieter Poolman, Electrical Geodesics, Inc

And

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# Project Team

- Neural Avionics Team
  - Operator Performance Laboratory (OPL)
    - Neurology
    - Fixed Wing Flight Testing
    - Human factors engineering
    - Academic program component
  - National Research Council Canada (NRC)
    - Rotary wing flight testing
    - Flight equipment engineering
    - Pilot performance assessment
  - NASA Langley Integrated Intelligent Flight Deck Team
    - Foundational research
    - Flight safety research and NGATS
  - Electrical Geodesics Incorporated
    - Leader in dense array EEG equipment development
    - Signal processing

















# **Project Goals**

- Competency in brain-computer-interaction as it relates to flight
- Develop methods to collect physiological data in ecologically valid context of flight
  - Flight and Simulator (Ground) environments are NOT the same
- Investigate sensors to monitor operator state
- Build model to characterize operator state and to provide context relevant feedback

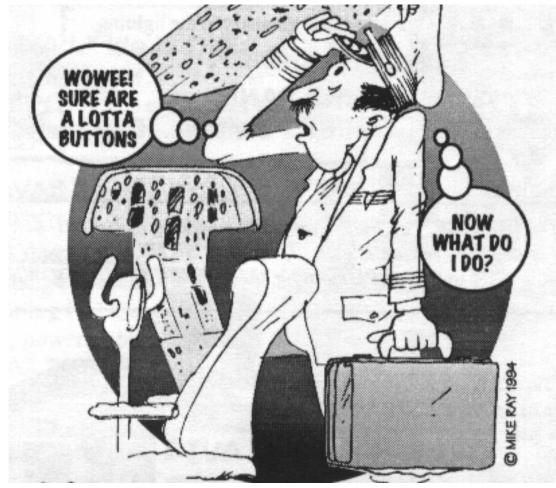
# Foster positive and prevent negative states in pilot behavior





#### Neural Avionics

- Complex avionics may reach or exceed pilot cognitive and workload limits
- Especially in nonnormal conditions
- Reduce false alarms, provide highly context relevant information

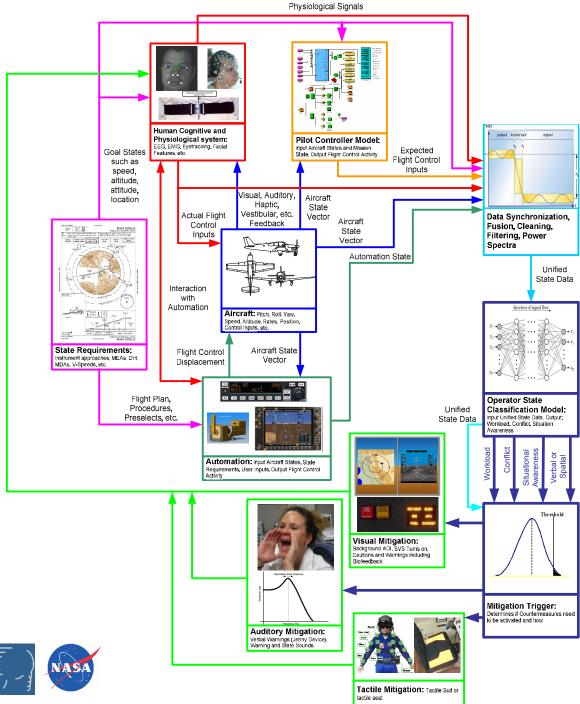






## Neural **Avionics** Model

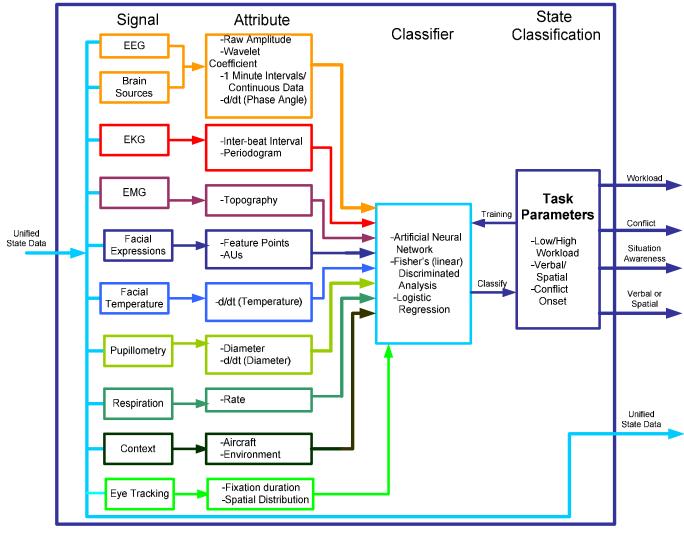
- State data ۲
  - Aircraft
  - Mission
  - Automation
  - Human \_
- Classification System •
  - Synchronize \_
  - Remove artifacts
  - Characterize state
- Mitigate •
  - Visual
  - Tactile
  - Auditory







## **Operator State Classification**

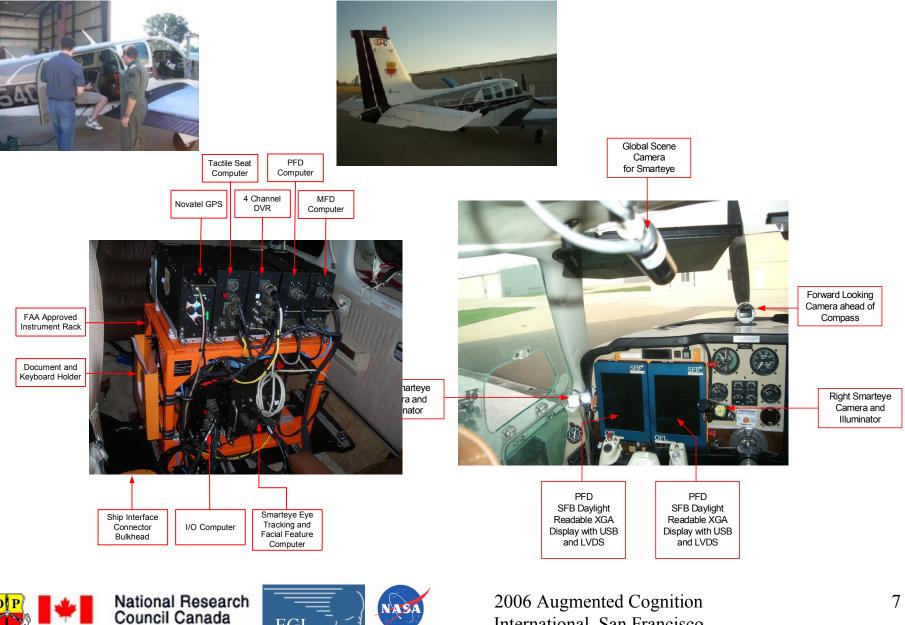




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#### Flight Test Platforms: CARP



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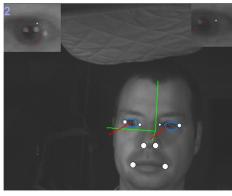
EGI

International, San Francisco

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#### Flight Test Platforms: CARP









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2006 Augmented Cognition International, San Francisco 8

#### CARP-In-The-Loop flight simulator

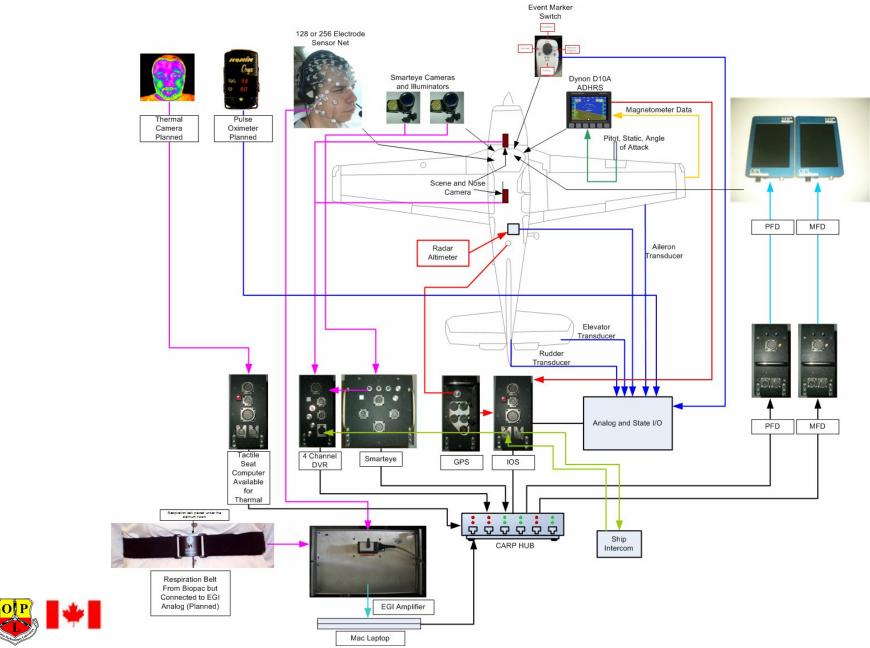




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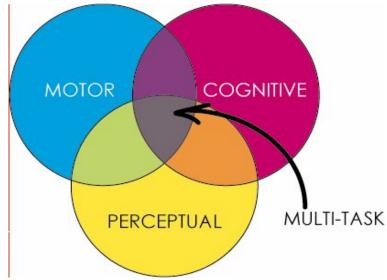
#### Flight Test Platforms: CARP



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#### THE FLIGHT TEST - FIRST PRINCIPLES

• Isolating Motor, Cognitive, and Perceptual Processes

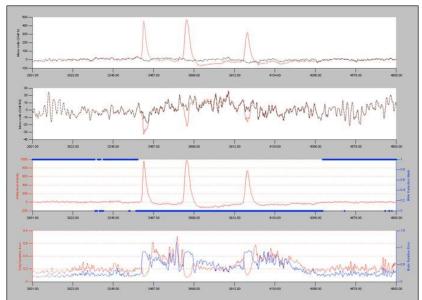






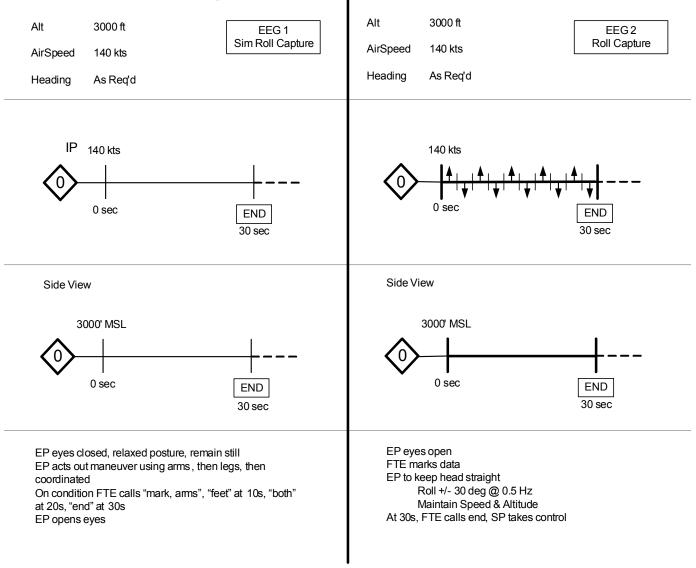
## THE FLIGHT TEST - FIRST PRINCIPLES

- Synchronization and artifact removal of real flight data
  - Flight state data
  - Expected pilot response data
  - Physiological data
    - Dense array EEG
    - EKG
    - Eye tracking
    - Facial feature points
    - Respiration
    - Thermal imaging of face



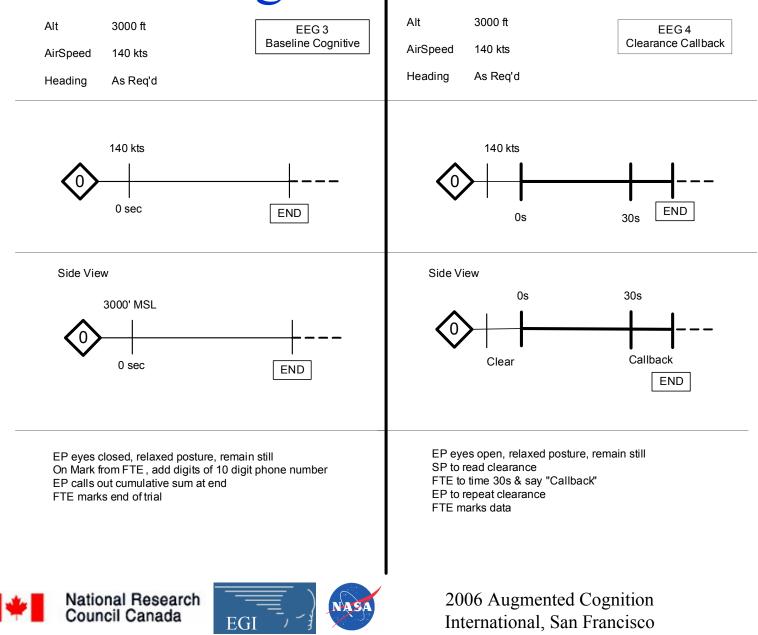


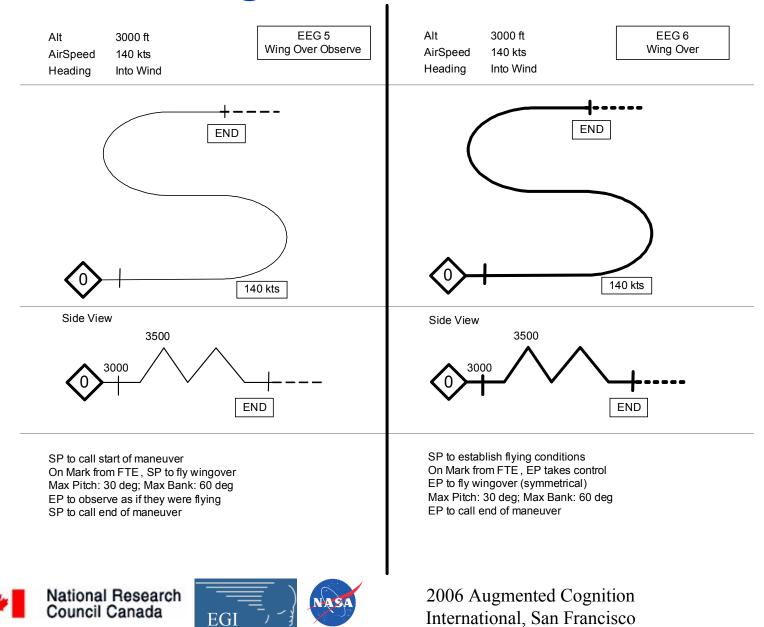


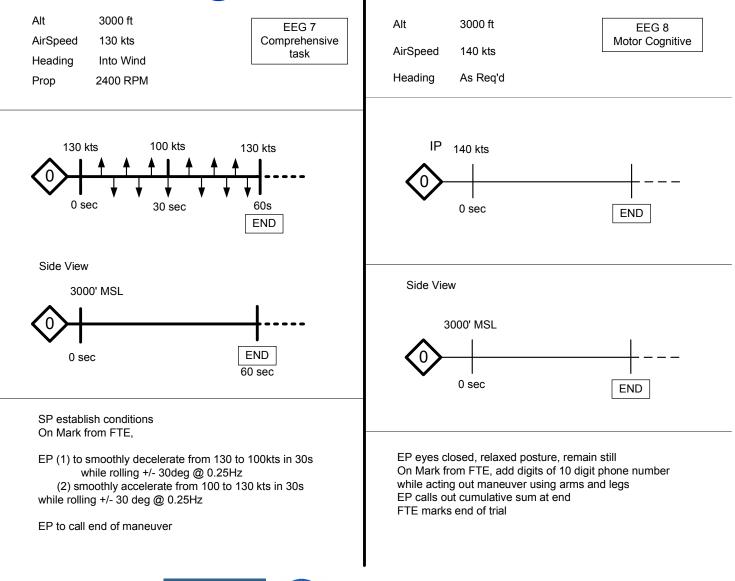


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#### EEG Sortie





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# Background Flight Research Laboratory

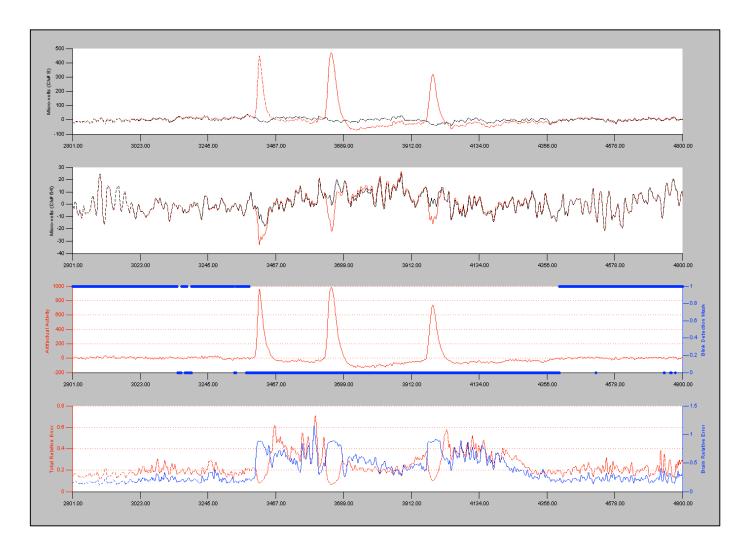
- Pursue the goals of NRC-IAR in those R & D areas that require:
  - full-scale, aircraft-based experimentation,
  - understanding of interactions between the pilot and the aircraft,
  - skills and knowledge for successful integration of systems into the airborne environment,
  - expert level knowledge of aircraft performance, stability and control, and flight dynamics,

Or

is a direct non-aeronautical application of the skills and expertise resident at FRL



## Artifact Removal







# Calibration (EEG)

- EEG tracks electrical activity in cortex
- Orientation and position of activity are crucial
- Each individual's cortex is shaped and organized uniquely
- Conduct standardized neuropsychological experiments to calibrate brain model for each subject



# Facial Expression Recognition

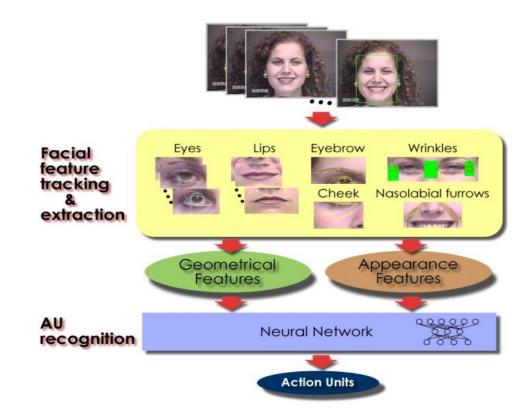
Methodology (CMU or Smart Eye):

- 1. Facial feature tracking => 2D picture to 3D volume
- 2. Extract  $S_i$  = shape parameters = f(facial features)
- 3.  $AUj = f(S_i S_{neutral face})$
- 4.  $P(expression_k) = f(AU_j)$
- AU = "action units" in Facial Action Coding System (FACS) http://www.cs.cmu.edu/afs/cs/project/face/www/facs.htm

Link AU scores to emotion (happiness, sadness, etc.) scores with FACSAID dictionary



# Facial Expression Recognition





## Facial Expression Recognition

#### FACS - Facial Action Coding System (2002 Revision is <u>here</u>)

(Ekman and Friesen 1978)

AU	Description	Facial muscle	Example image
1	Inner Brow Raiser	Frontalis, pars medialis	100 000
2	Outer Brow Raiser	Frontalis, pars lateralis	0
4	Brow Lowerer	Corrugator supercilii, Depressor supercilii	
<u>5</u>	Upper Lid Raiser	Levator palpebrae superioris	0
<u>6</u>	Cheek Raiser	Orbicularis oculi, pars orbitalis	6
z	Lid Tightener	Orbicularis oculi, pars palpebralis	and the
<u>9</u>	Nose Wrinkler	Levator labii superioris alaquae nasi	Carlo
<u>10</u>	Upper Lip Raiser	Levator labii superioris	1
11	Nasolabial Deepener	Zygomaticus minor	4.00



# Initial Modeling Effort

<u>EEG</u>: Correlate spectral power with workload conditions/tasks
<u>Overall</u>: Discriminant Analysis

Workload<sub>level</sub> = f(EEG)

Workload<sub>level</sub> = f(EEG, EKG, EMG, respiration, facial expression)



# Analysis

Filter data into frequency bands:

- Delta (< 4Hz)
- Theta (4 7Hz) => workload/memory processes/(performance & conflict monitoring)
- Alpha (8 12Hz) => motor activity
- Beta (13–20Hz)
- Gamma (> 30Hz) => (perceptions/attention)

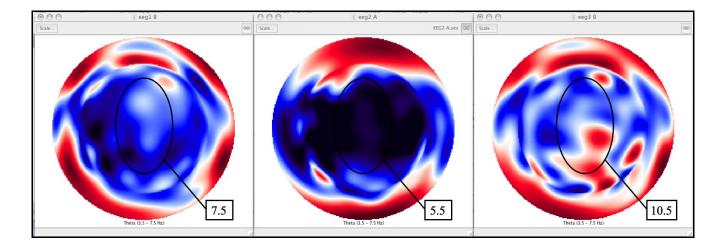
Calculate power in each frequency band (e.g average for 30sec intervals)

Method: Fourier Transforms





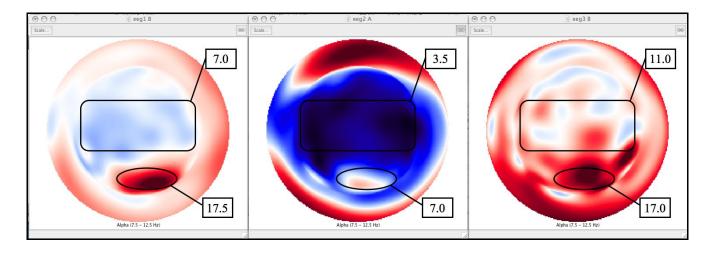
#### Results



• Working memory-processes are reflected as oscillations within the EEG theta band



## Results



- Alpha activity represents a sort of "idle" state.
- Normally fairly large over the back third of the brain (mainly occipital areas) when the eyes are closed and the subject is awake.
- Disappears when a person either becomes mentally busy or becomes drowsy.
- For voluntary movements (e.g. finger tapping), EEG activity is desynchronized in alpha ranges over sensorimotor areas.





# Analysis

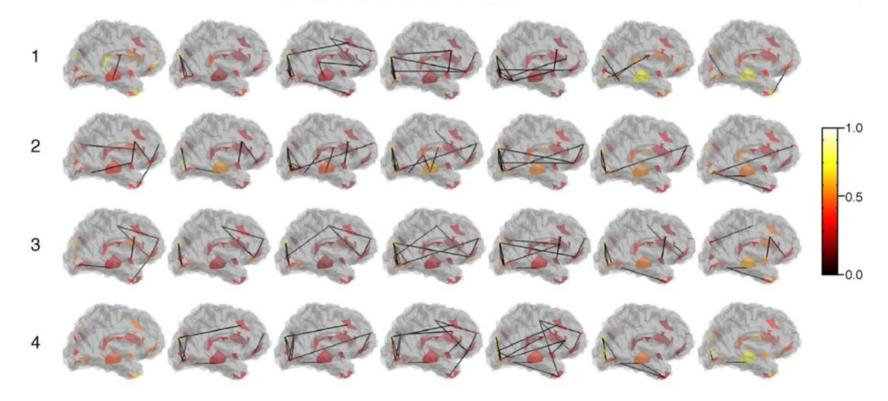
		Theta	Alpha	
		Midline	Occipital	Lateral motor
Task	1	7.5	17.5	7
	2	5.5	7	3.5
	3	10.5	17	11
	5	7	9.5	4
	6	8	10.5	4.5
	7	10.5	11	5





#### Next Steps: EEG Coherence

D. Cosmelli et al. / NeuroImage 23 (2004) 128-140





## Building Augmented Cognition Internationally

The NRC is leading a team of Canadian researchers consisting of 1) Canadian Universities, Corporate Partners and other government departments (e.g. DND, DRDC, and Transport Canada)

The collaborative efforts of the University of Iowa and the Flight Research Laboratory have established new physiological monitoring capabilities in fixed and rotary wing aircraft.

THE THRUST OF THESE COLLABORATIVE EFFORTS IS TO DEVELOP A NEW AIRBORNE TEST CAPABILITY ACCESSIBLE TO THE BROADER COMMUNITY OF RESEARCHERS STUDYING AUGMENTED COGNITION.





#### The FRL Research Aircraft Fleet



# 45 years of experience of Fly-By Wire

- First variable stability helicopter developed from Bell 47's in 1962!
- Bell 205 Airborne Simulator Acquired in 1969, FBW in 1971
  - Extensive use in defining rotorcraft/VSTOL handling requirements
- Bell 412 Advanced Systems Research Aircraft (ASRA)
  - Higher control power and bandwidth
  - Acquired 1993, First FBW engagement in February 2001
  - First major project Sikorsky Aircraft Fall 04

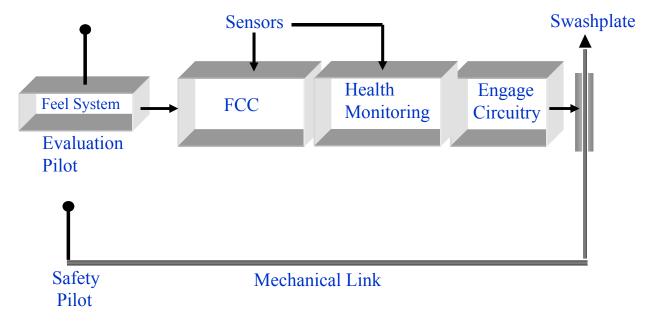






# ASRA FBW Design Philosophy

- Simplex control system
  - "single string"
- Full authority
- Full envelope
- Safety pilot constitutes the core safety system







With our two "fly-bywire helicopters, we study how to make helicopters easier to fly



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#### Advanced Systems Research Aircraft (ASRA)





ASRA, can be airborne test facility or fixed base simulator.

CAPABILITY TO VARY WORKLOAD

Similar approaches to CARP.

- 1. Validate, assess, and develop recording technologies,
- 2. Enhance data analysis techniques in rotary environment
- 3. Study neural mechanisms contributing to flight.
- 4. Implemented platforms for real cockpit evaluations.



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