

# EECS-3421A: TEST #1

## “Design”

*Electrical Engineering & Computer Science*

*Lassonde School of Engineering*

**York University**

**Family Name:** \_\_\_\_\_

**Given Name:** \_\_\_\_\_

**Student#:** \_\_\_\_\_

**EECS Account:** \_\_\_\_\_

**Instructor:** Parke Godfrey

**Exam Duration:** 75 minutes

**Term:** Fall 2016

### Instructions

- Should you feel a question needs an assumption to be able to answer it, write the assumptions you need along with your answer.
- If you need more room to write an answer, indicate where you are continuing the answer.
- For multiple choice questions, choose *one* best answer for each of the following. There is no negative penalty for a wrong answer.
- For schema, the underlined attributes indicate a table's primary key (and are, hence, not nullable). Attributes appended with “\*” are not nullable. Foreign keys are indicated by FK.
- The number of points a given question is worth is marked; it is worth one point, if not marked.
- There are five major parts worth 10 points each, for 50 points in total.

MARKING BOX	
1.	/10
2.	/10
3.	/10
4.	/10
5.	/10
<b>Total</b>	<b>/50</b>

1. [10pt] **Entity/Relationship Modelling.** *With modelling, you pose.*

EXERCISE

**Requirements for the NSFW Database.**

The *Nova Scotia Forestry Works* (NSFW) oversees logging<sup>1</sup> of trees in the province. They have commissioned you to do an E/R design for a database to help them track logging.

There are two types of entities that register with the NSFW: logging *companies* that intend to log in Nova Scotia; and *owners* who own forested *plots* of land that are zoned for logging. For a company to log, or for an owner to “sell” logging rights on a plot or his or hers, each needs to be licensed by the NSFW. A *licensee* is assigned a unique *licence#* by the NSFW, has an *issued* date, and has an *address* (either the company’s address or the owner’s address, depending). For an owner, we are to keep additionally the owner’s *name*. For a company, we are to keep additionally the *title* of the company (essentially, its name, but the NSFW wants this called “title”) and the *year* that it was *founded*.

A *plot* of land is a forested area that is zoned for logging. It is identified by a unique *plot#* and we record (exactly) one owner of the plot.

The NSFW keeps track of *types* of trees—e.g., oak, maple, pine, and spruce—that are available for logging. Each type is identified by a *type* name and has a description (*desc*). For each type in a plot, we record an estimate of the *number* of trees of that type *contained* on the plot and an estimate of the *tonnage* of wood of that type (that is, how much wood there would be if we logged all the trees of that type from the plot).

A company may enter a *contract* with an owner to log a specific type of tree from a given plot of that owner’s. We should ensure that the plot is known to *contain* that type of tree in order to create a contract. The contract should record a logging *fee* (a base fee for the contract), a *quota* (which is the annual maximum tonnage amount that the company is allowed to log of that type of tree on that plot), and a *rate* (the cost per tonnage that they log).

NSFW keeps track per *month*—that is, a given *month* in a given *year*—for each contract the *haul*; that is, the *tonnage* of wood of that type that the company logged from the plot in that month.

- a. [2pt] We want to be able to check in the database whether the amount that a company has logged annually in a plot for a given type of tree is more than the quota that its contract allows.

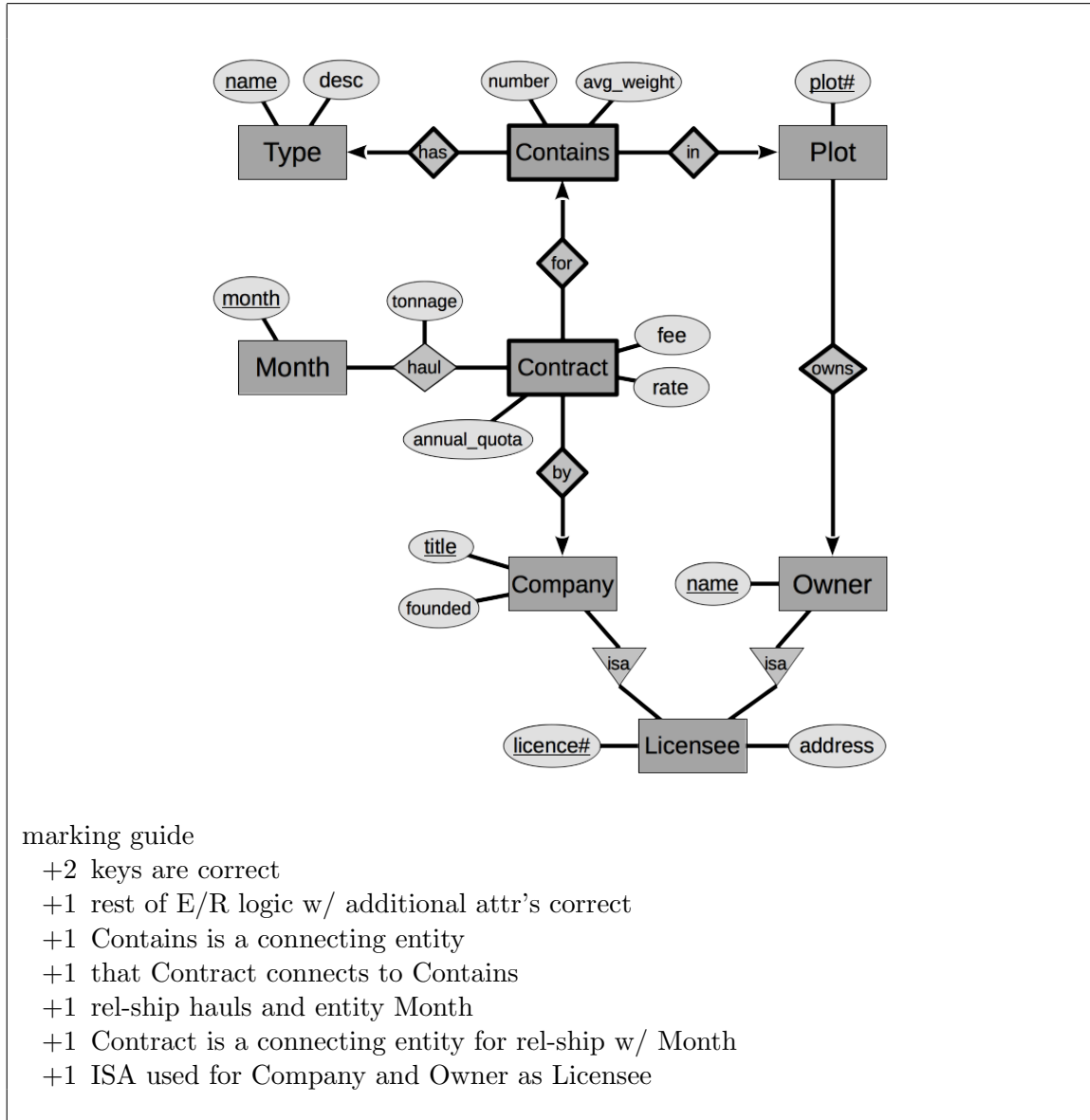
Should we add to our design an attribute `annual_tonnage` (wherever we placed `quota`) to accommodate this?

Why or why not?

*No. It is already implicit in the design. The sum of monthly hauls for a year is this value.*

<sup>1</sup>*logging.* The cutting down (harvesting) of trees for commercial use.

b. [8pt] Design an E/R diagram capturing the requirements for the NSFV database.



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2. [10pt] **General.** *Luck of the draw.*

MULTIPLE CHOICE

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- a. [1pt] Functions of a relational database management system include all *except*
- A. to ensure integrity constraints are not violated by updates to the data.
  - B. to support general programming functionality through SQL, or another relational query language.
  - C. to support application programs accessing its databases through SQL.
  - D. to ensure that the changes of each transaction are committed in entirety or not at all.
  - E. to support the creation and altering of new databases.
- 
- b. [1pt] The rule of *data independence* is that
- A. all information in the database is to be represented in one and only one way, namely by values in column positions within rows of tables.
  - B. all views that are theoretically updatable must be updatable by the system.
  - C. changes that are made to the physical storage representations or access methods must not require changes be made to application programs.
  - D. changes that are made to tables that do not modify any of the data already stored in the tables must not require changes be made to application programs.
  - E. data in different tables must not be related.
- 
- c. [1pt] A *key* that is created just for the purpose of the database to distinguish tuples in the table is called
- A. proper.
  - B. compound.
  - C. surrogate.
  - D. relational.
  - E. diplomatic.
- 
- d. [1pt] NULL values can be used
- A. to opt a tuple out of enforcement of a foreign key.
  - B. to opt a tuple out of enforcement of the primary key.
  - C. to make a tuple to be non-updatable.
  - D. to add extra columns for a specific tuple.
  - E. to delete a tuple from the table.
- 
- e. [1pt] *Multiway relationships*
- A. can never be equivalently replaced by (several) binary relationships.
  - B. have keys like entities.
  - C. are used to relate weak entities.
  - D. relate more than two entities.
  - E. are not a part of the E/R model.
-

- 
- f. [1pt] A *weak entity*
- A. inherits part of its key from the “parent” entities to which it is related.
  - B. is an entity with *no* key.
  - C. is an entity with *no* attributes besides its key.
  - D. is *never* mapped to a table in conversion to a relational schema.
  - E. is the same thing as ISA in E/R.
- 
- g. [1pt] A weak entity set that contributes no attributes of its own to its key is called
- A. a super entity set.
  - B. a sub-class.
  - C. a super-class.
  - D. a lame entity set.
  - E. a connecting entity set.
- 
- h. [1pt] Relational schema differ from E/R diagrams in that
- A. all tables are inherently equivalent to weak entities.
  - B. attributes / columns are sometimes repeated between tables, unlike entities.
  - C. the concept of relationship cannot be expressed.
  - D. the concept of entity cannot be expressed.
  - E. the concept of multiway relationship cannot be expressed.
- 
- i. [1pt] Why are the normal forms useful?
- A. They help us find anomalies in the data.
  - B. They are just a tool for checking whether our relational design makes sense or not.
  - C. If the schema is in BCNF, we are guaranteed that queries will execute faster than if it were not in BCNF.
  - D. By having a relational schema in a given normal form, it guarantees that certain types of data anomalies cannot occur.
  - E. They are useless, but earn database consultants lots of money. (Don't tell anyone!)
- 
- j. [1pt] The XML data model is called *semi-structured* because
- A. it is computationally simpler than the relational (a *structured*) model.
  - B. it not formally defined, in contrast to the relational model.
  - C. there are no query languages for it.
  - D. not all the data in an XML database needs to be *fully structured*, as it has to be in relational.
  - E. there is no corresponding notion of *schema*, as there is for relational.
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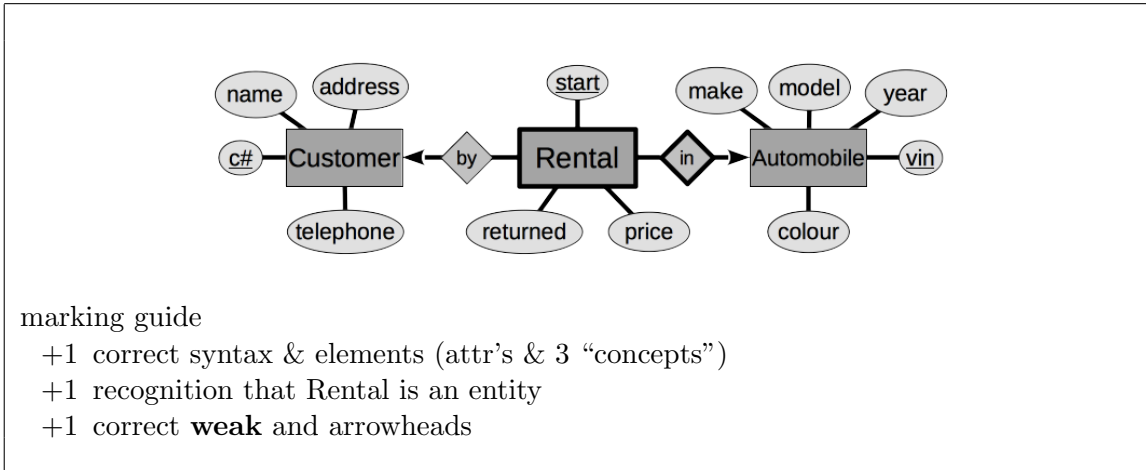
3. [10pt] **Relational Schema.** *You don't choose your relations!*

ANALYTIC

Note that attributes appended with "\*" below are *not* nullable.

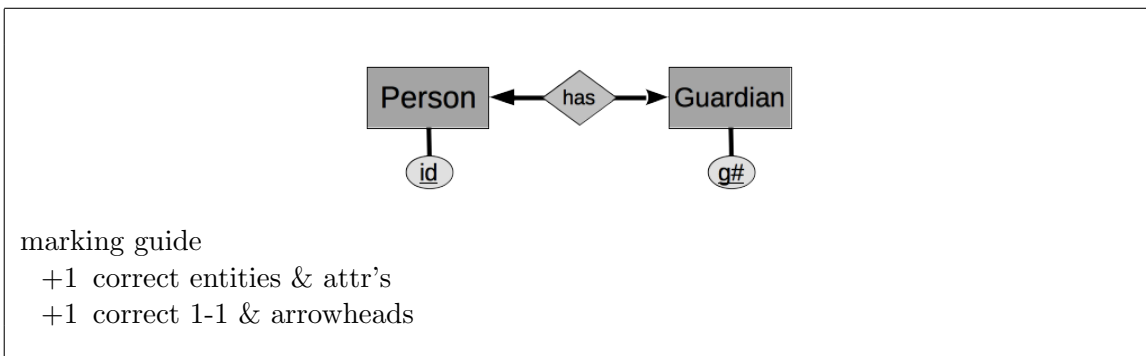
a. [3pt] Show an E/R diagram that captures

**Customer**(c#, name, address, telephone)  
**Automobile**(vin, make, model, year, colour)  
**Rental**(vin, start, c#\*, returned, price)  
 FK (vin) refs **Automobile**  
 FK (c#) refs **Customer**



b. [2pt] Reverse-engineer the following relational schema to an appropriate E/R diagram.

**Guardian**(g#)  
**Person**(id, g#\*)  
 FK (g#) refs **Guardian**  
 UNIQUE (g#)



- c. [3pt] Write a relation for *Employee* which includes attributes for *emp#* (which uniquely determines an employee) the employee's *name*, *office#*, *phone#*, and department (*dept*). Also, this should include the employee's *boss*, who is another employee. (Assume each employee has no boss or one boss.)

Follow the style of the relational schema presented in Question 3a. Write any additional relations, if needed.

**Employee**(*emp#*, *name*, *office#*, *phone#*, *dept*, *boss*)  
*FK (boss) refs Employee (emp#)*

marking guide

- +1 right rel'n & attr's
- +1 has a 'boss' attr
- +1 FK cast correctly

- d. [2pt] Is it possible to capture any E/R model correctly in a relational schema without needing any *compound* key (that is, a key consisting of several attributes)?

Why or why not?

*No. Because many-many rel-ships must be converted into tables. These tables' keys are the union of the keys' attributes of the entities that the rel-ship relates.*

*Likewise for weak entities to tables.*

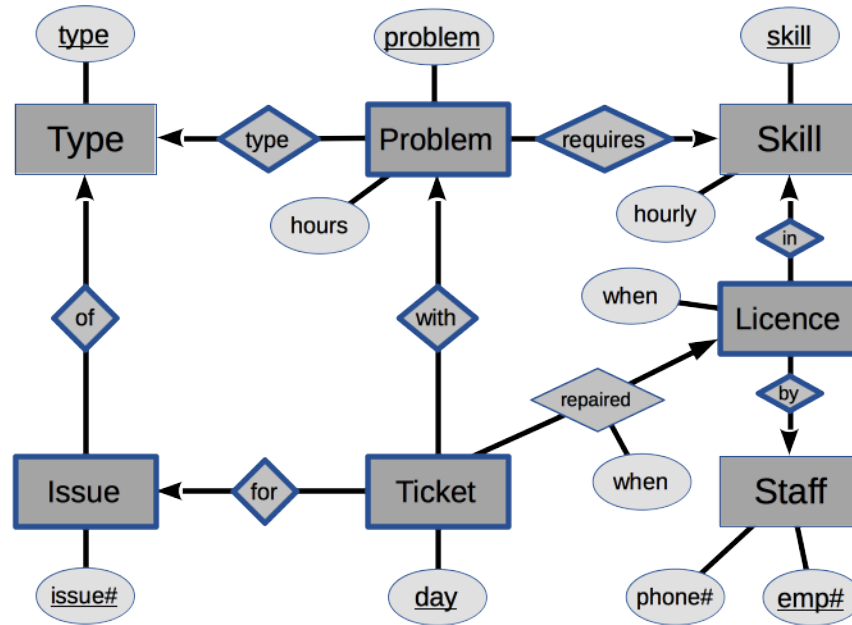
marking guide

- +1 No.
  - +1 Decent explanation.
- or
- +1 For yes, if states surrogate keys and UNIQUE.

4. [10pt] **Conceptual to Schema.** *You don't choose your relations!*

EXERCISE

Translate the following E/R diagram faithfully to a relational schema. Follow the style of the relational schema presented in Question 3a. Use a *restrictive* interpretation.



**Type**(type)  
**Issue**(issue#, type)  
*FK (type) refs Type - of*  
**Skill**(skill, hourly)  
**Problem**(problem, type, skill, hours)  
*FK (type) refs Type - type*  
*FK (skill) refs Skill - requires*  
**Staff**(emp#, phone#)  
**Licence**(skill, emp#, when)  
*FK (skill) refs Skill - in*  
*FK (emp#) refs Staff - by*  
**Ticket**(issue#, problem, day, type, skill\*, emp#, when)  
*FK (issue#) refs Issue - for*  
*FK (problem) refs Problem - with*  
*FK (skill, emp#) refs Licence - repaired*

marking guide

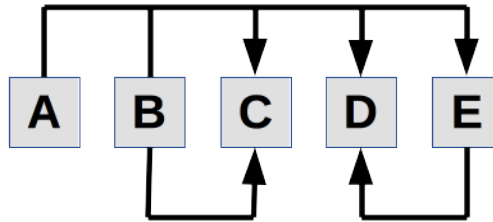
- +3 right syntax
- +2 capture all tables needed
- +3 have correct FKs
- +1 got the additional attr's
- +1 'repaired' is 0-1 and 'skill' is not null for Problem FK



5. [10pt] **Design Theory.** *Who's normal?!*

SHORT ANSWER

- a. [5pt] Consider the following relation **R** with attributes A, B, C, D, and E and with functional dependencies (FDs) as marked.



- i. [1pt] What is the key?

\_\_\_\_\_ AB \_\_\_\_\_

- ii. [1pt] What is the nickname for the type of FD that violates 2NF?

\_\_\_\_\_ partial key \_\_\_\_\_

- iii. [1pt] What is an FD from above that violates 2NF?

\_\_\_\_\_  $B \rightarrow C$  \_\_\_\_\_

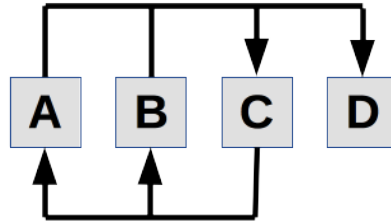
- iv. [1pt] What is the nickname for the type of FD that violates 3NF (but not 2NF)?

\_\_\_\_\_ transitive \_\_\_\_\_

- v. [1pt] What is an FD from above that violates 3NF (but not 2NF)?

\_\_\_\_\_  $E \rightarrow D$  \_\_\_\_\_

- b. [3pt] Consider the following relation **R** with attributes A, B, C, and D and with functional dependencies (FDs) as marked.



State *yes* or *no* for each of the following. For a *no* answer, state a violating FD. (E.g., “Yes.” Or, “No,  $E \mapsto F$ .”)

- i. [1pt] Is **R** in BCNF? \_\_\_\_\_ yes \_\_\_\_\_
- ii. [1pt] Is **R** in 3NF? \_\_\_\_\_ yes \_\_\_\_\_
- iii. [1pt] Is **R** in 2NF? \_\_\_\_\_ yes \_\_\_\_\_

- c. [2pt] If a relation is *not* in BCNF but it is in 3NF—so it has a “back” dependency—does the relation *necessarily* have more than one key?

State *yes* or *no*, and explain *briefly*.

*Yes, it does.*

*Consider a relation with the set of attributes  $\mathcal{R}$ . A back dependency is where a set of attributes,  $\mathcal{A}$ , that are not part of a key, a set of attributes  $\mathcal{K}$  such that  $\mathcal{K} \mapsto \mathcal{R}$ , which functionally determines an attribute (or attributes) that are part of that key  $\mathcal{K}$ ; i.e.,  $\mathcal{J} \subset \mathcal{K}$ ,  $\mathcal{A} \not\subseteq \mathcal{K}$ , and  $\mathcal{A} \mapsto \mathcal{J}$ . By Augmentation,  $\mathcal{A} \cup (\mathcal{K} - \mathcal{J}) \mapsto \mathcal{J} \cup (\mathcal{K} - \mathcal{J})$ ; that is,  $\mathcal{A} \cup (\mathcal{K} - \mathcal{J}) \mapsto \mathcal{K}$ . By Transitivity then,  $\mathcal{A} \cup (\mathcal{K} - \mathcal{J}) \mapsto \mathcal{R}$ .  $\mathcal{A} \cup (\mathcal{K} - \mathcal{J})$  is also a key of the relation, which is distinct from  $\mathcal{K}$ .*

*$\therefore$  The relation has more than one key.*

EXTRA SPACE

EXTRA SPACE

RELAX. TURN IN YOUR TEST. RETURN TO THE WILD.

REFERENCE

*(Detach this page for convenience, if you want.)***The Normal-Form Definitions.**

- 1NF:** Domain of each attribute is an *elementary* type; that is, not a *set* or a *record structure*.
- 2NF:** Whenever  $\mathcal{X} \mapsto A$  is a functional dependency that holds in relation  $\mathbf{R}$  and  $A \notin \mathcal{X}$ , then either
- $A$  is *prime*, or
  - $\mathcal{X}$  is not a proper subset of any key for  $\mathbf{R}$ .
- 3NF:** Whenever  $\mathcal{X} \mapsto A$  is a functional dependency that holds in relation  $\mathbf{R}$  and  $A \notin \mathcal{X}$ , then either
- $A$  is *prime*, or
  - $\mathcal{X}$  is a key or a super-key for  $\mathbf{R}$ .
- BCNF:** Whenever  $\mathcal{X} \mapsto A$  is a functional dependency that holds in relation  $\mathbf{R}$  and  $A \notin \mathcal{X}$ , then
- $\mathcal{X}$  is a key or a super-key for  $\mathbf{R}$ .

An attribute  $A$  is called *prime* if  $A$  is in any of the candidate keys.

Figure 1: The Normal Forms.

## REFERENCE

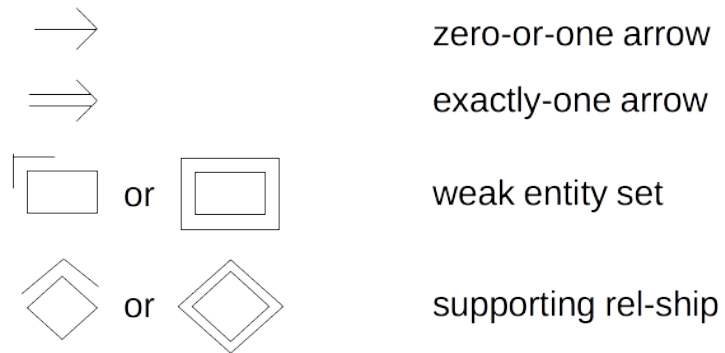
**E/R diagram hand-drawing guide.**

Figure 2: E/R drawing guide.