

# Constraints

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FOREIGN KEYS

LOCAL AND GLOBAL CONSTRAINTS

TRIGGERS

# Constraints and Triggers

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A *constraint* is a relationship among data elements that the DBMS is required to enforce.

- **Example:** key constraints.

*Triggers* are only executed when a specified condition occurs, e.g., insertion of a tuple.

- Easier to implement than complex constraints.

# Kinds of Constraints

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Keys.

Foreign-key, or referential-integrity.

Value-based constraints.

- Constrain values of a particular attribute.

Tuple-based constraints.

- Relationship among components.

Assertions: any SQL boolean expression.

# Review: Single-Attribute Keys

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Place PRIMARY KEY or UNIQUE after the type in the declaration of the attribute.

Example:

```
CREATE TABLE Beers (  
    name CHAR(20) UNIQUE,  
    manf CHAR(20)  
);
```

# Review: Multiattribute Key

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The bar and beer together are the key for Sells:

```
CREATE TABLE Sells (  
    bar        CHAR(20),  
    beer       VARCHAR(20),  
    price      REAL,  
    PRIMARY KEY (bar, beer)  
);
```

# Foreign Keys

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Values appearing in attributes of one relation must appear together in certain attributes of another relation.

**Example:** in `Sells(bar, beer, price)`, we might expect that a beer value also appears in `Beers.name` .

# Expressing Foreign Keys

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Use keyword REFERENCES, either:

1. After an attribute (for one-attribute keys).
2. As an element of the schema:

FOREIGN KEY (<list of attributes>)

REFERENCES <relation> (<attributes>)

Referenced attributes must be declared PRIMARY KEY or UNIQUE.

# Example: With Attribute

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```
CREATE TABLE Beers (  
  name      CHAR(20) PRIMARY KEY,  
  manf      CHAR(20) );  
  
CREATE TABLE Sells (  
  bar CHAR(20),  
  beer CHAR(20) REFERENCES Beers(name),  
  price REAL );
```

# Example: As Schema Element

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```
CREATE TABLE Beers (  
  name      CHAR(20) PRIMARY KEY,  
  manf      CHAR(20) );  
  
CREATE TABLE Sells (  
  bar CHAR(20),  
  beer CHAR(20),  
  price REAL,  
  FOREIGN KEY (beer) REFERENCES  
    Beers (name) );
```

# Enforcing Foreign-Key Constraints

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If there is a foreign-key constraint from relation  $R$  to relation  $S$ , two violations are possible:

1. An insert or update to  $R$  introduces values not found in  $S$ .
2. A deletion or update to  $S$  causes some tuples of  $R$  to “dangle.”

# Actions Taken --- (1)

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**Example:** suppose  $R = \text{Sells}$ ,  $S = \text{Beers}$ .

An insert or update to **Sells** that introduces a nonexistent beer must be rejected.

A deletion or update to **Beers** that removes a beer value found in some tuples of **Sells** can be handled in three ways (next slide).

# Actions Taken --- (2)

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1. *Default* : Reject the modification.
2. *Cascade* : Make the same changes in Sells.
  - *Deleted beer*: delete Sells tuple.
  - *Updated beer*: change value in Sells.
3. *Set NULL* : Change the beer to NULL.

# Example: Cascade

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Delete the Bud tuple from Beers:

- Then delete all tuples from Sells that have beer = 'Bud'.

Update the Bud tuple by changing 'Bud' to 'Budweiser':

- Then change all Sells tuples with beer = 'Bud' to beer = 'Budweiser'.

# Example: Set NULL

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Delete the Bud tuple from Beers:

- Change all tuples of Sells that have beer = 'Bud' to have beer = NULL.

Update the Bud tuple by changing 'Bud' to 'Budweiser':

- Same change as for deletion.

# Choosing a Policy

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When we declare a foreign key, we may choose policies SET NULL or CASCADE independently for deletions and updates.

Follow the foreign-key declaration by:

```
ON [UPDATE, DELETE][SET NULL CASCADE]
```

Two such clauses may be used.

Otherwise, the default (reject) is used.

# Example: Setting Policy

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```
CREATE TABLE Sells (  
  bar CHAR(20),  
  beer CHAR(20),  
  price REAL,  
  FOREIGN KEY (beer)  
    REFERENCES Beers (name)  
    ON DELETE SET NULL  
    ON UPDATE CASCADE  
);
```

# Attribute-Based Checks

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Constraints on the value of a particular attribute.

Add CHECK(<condition>) to the declaration for the attribute.

The condition may use the name of the attribute, but **any other relation or attribute name must be in a subquery.**

# Example: Attribute-Based Check

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```
CREATE TABLE Sells (  
  bar CHAR(20),  
  beer CHAR(20) CHECK ( beer IN  
    (SELECT name FROM Beers)),  
  price REAL CHECK ( price <= 5.00 )  
);
```

# Timing of Checks

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Attribute-based checks are performed only when a value for that attribute is inserted or updated.

- **Example:** `CHECK (price <= 5.00)` checks every new price and rejects the modification (for that tuple) if the price is more than \$5.
- **Example:** `CHECK (beer IN (SELECT name FROM Beers))` not checked if a beer is deleted from Beers (unlike foreign-keys)!

# Tuple-Based Checks

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CHECK (<condition>) may be added as a relation-schema element.

The condition may refer to any attribute of the relation.

- But other attributes or relations require a subquery.

Checked on insert or update only.

# Example: Tuple-Based Check

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Only Joe's Bar can sell beer for more than \$5:

```
CREATE TABLE Sells (  
    bar        CHAR(20),  
    beer       CHAR(20),  
    price      REAL,  
    CHECK (bar = 'Joe's Bar' OR  
           price <= 5.00)  
);
```

# Assertions

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These are database-schema elements, like relations.

Defined by:

```
CREATE ASSERTION <name>  
    CHECK (<condition>);
```

Condition may refer to any relation or attribute in the database schema.

# Example: Assertion

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In `Sells(bar, beer, price)`, no bar may charge an average of more than \$5.

```
CREATE ASSERTION NoRipoffBars CHECK (
```

```
NOT EXISTS (
```

```
SELECT bar FROM Sells
GROUP BY bar
HAVING 5.00 < AVG(price)
```

```
));
```

Bars with an  
average price  
above \$5



# Example: Assertion

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In `Drinkers(name, addr, phone)` and `Bars(name, addr, license)`, there cannot be more bars than drinkers.

```
CREATE ASSERTION FewBar CHECK (  
  (SELECT COUNT (*) FROM Bars) <=  
  (SELECT COUNT (*) FROM Drinkers)  
);
```

# Timing of Assertion Checks

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In principle, we must check every assertion after every modification to any relation of the database.

A clever system can observe that only certain changes could cause a given assertion to be violated.

- **Example:** No change to Beers can affect FewBar. Neither can an insertion to Drinkers.

# Triggers: Motivation

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Assertions are powerful, but the DBMS often cannot tell when they need to be checked.

Attribute- and tuple-based checks are checked at known times, but are not powerful.

Triggers let the user decide when to check for any condition.

# Event-Condition-Action Rules

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Another name for “trigger” is *ECA rule*, or *event-condition-action* rule.

*Event* : typically a type of database modification, e.g., “insert on Sells.”

*Condition* : Any SQL boolean-valued expression.

*Action* : Any SQL statements.

# Preliminary Example: A Trigger

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Instead of using a foreign-key constraint and rejecting insertions into `Sells(bar, beer, price)` with unknown beers, a trigger can add that beer to `Beers`, with a `NULL` manufacturer.

# Example: Trigger Definition

---

```
CREATE TRIGGER BeerTrig
AFTER INSERT ON Sells
REFERENCING NEW ROW AS NewTuple
FOR EACH ROW
WHEN (NewTuple.beer NOT IN
      (SELECT name FROM Beers))
INSERT INTO Beers(name)
VALUES(NewTuple.beer);
```

The event

The condition

The action

# Options: CREATE TRIGGER

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CREATE TRIGGER <name>

Or:

REPLACE TRIGGER <name>

- Useful if there is a trigger with that name and you want to modify the trigger.

# Options: The Event

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AFTER can be BEFORE.

INSERT can be DELETE or UPDATE.

- And UPDATE can be UPDATE . . . ON a particular attribute.

# Options: FOR EACH ROW

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Triggers are either “row-level” or “statement-level.”

FOR EACH ROW indicates row-level; its absence indicates statement-level.

*Row level triggers* : execute once for each modified tuple.

*Statement-level triggers* : execute once for a SQL statement, regardless of how many tuples are modified.

# Options: REFERENCING

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INSERT statements imply a new tuple (for row-level) or table (for statement-level).

- The “table” is the set of inserted tuples.

DELETE implies an old tuple or table.

UPDATE implies both.

Refer to these by

[NEW OLD][ROW TABLE] AS <name>

# Options: The Condition

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Any boolean-valued condition.

Evaluated on the database as it would exist before or after the triggering event, depending on whether BEFORE or AFTER is used.

- But always before the changes take effect.

Access the new/old tuple/table through the names in the REFERENCING clause.

# Options: The Action

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There can be more than one SQL statement in the action.

- Surround by BEGIN . . . END if there is more than one.

But queries make no sense in an action, so we are really limited to modifications.

# Another Example

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Using `Sells(bar, beer, price)` and a unary relation `RipoffBars(bar)`, maintain a list of bars that raise the price of any beer by more than \$1.

# The Trigger

```
CREATE TRIGGER PriceTrig
```

```
AFTER UPDATE OF price ON Sells
```

```
REFERENCING
```

```
  OLD ROW AS ooo
```

```
  NEW ROW AS nnn
```

```
FOR EACH ROW
```

```
WHEN(nnn.price > ooo.price + 1.00)
```

```
INSERT INTO RipoffBars
```

```
  VALUES(nnn.bar);
```

The event –  
only changes  
to prices

Updates let us  
talk about old  
and new tuples

We need to consider  
each price change

Condition:  
a raise in  
price > \$1

When the price change  
is great enough, add  
the bar to RipoffBars