

Recursion

notes Chapter 8

Recursively Move Smallest to Front

- ▶ recall that we developed a method that moves the smallest element in a list to the front of the list

Recursively Move Smallest to Front

8	7	6	4	3	5	0	2	9	1
---	---	---	---	---	---	---	---	---	---

original list

8	7	6	4	3	5	0	2	9	1
---	---	---	---	---	---	---	---	---	---

recursion

move the smallest element of this sublist to the front of the sublist

8	0
---	---	-----	-----	-----	-----	-----	-----	-----	-----

compare



compare these two elements and move the smallest one to the front (swapping positions)

0	8
---	---	-----	-----	-----	-----	-----	-----	-----	-----

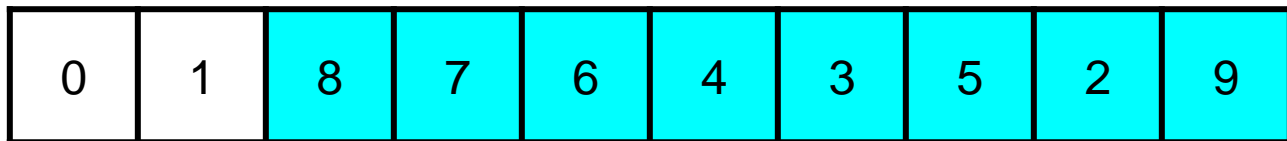
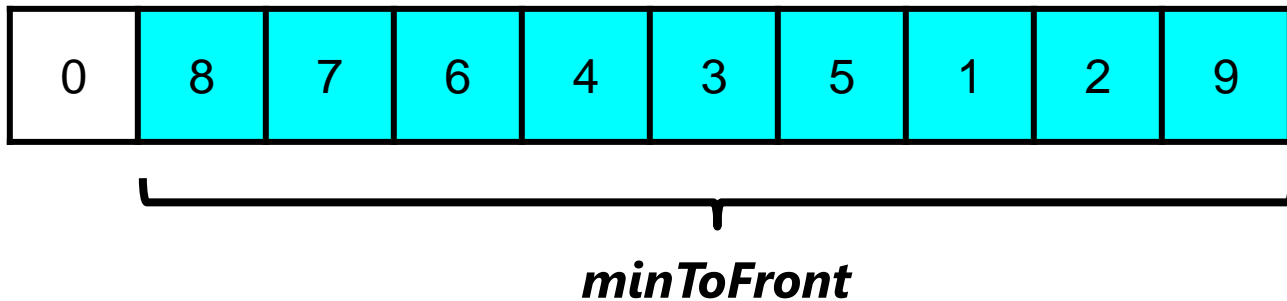
updated list

Recursively Move Smallest to Front

```
public class Sort {  
  
    public static void minToFront(List<Integer> t) {  
        if (t.size() < 2) {  
            return;  
        }  
        Sort.minToFront(t.subList(1, t.size()));  
        int first = t.get(0);  
        int second = t.get(1);  
        if (second < first) {  
            t.set(0, second);  
            t.set(1, first);  
        }  
    }  
}
```

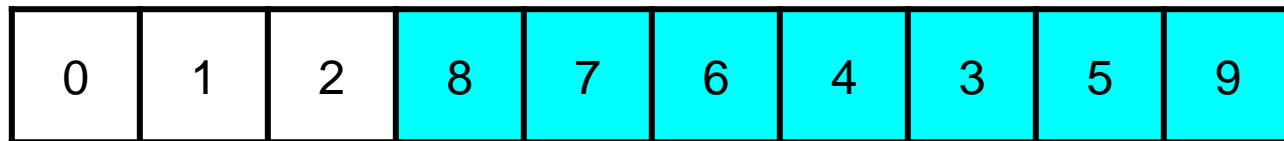
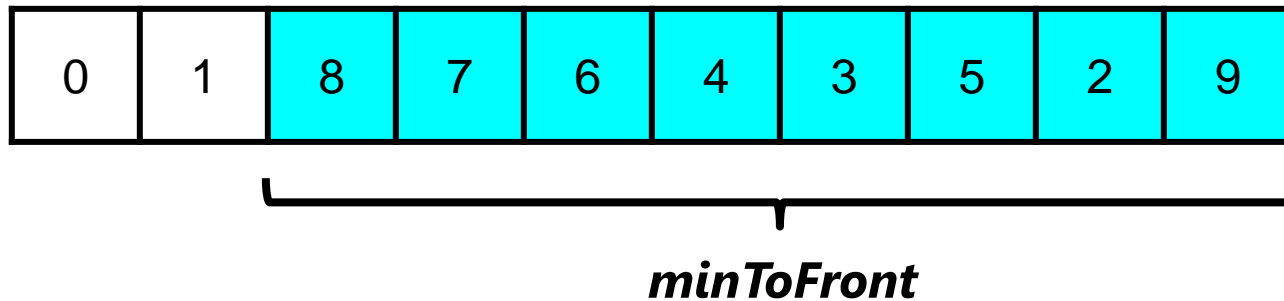
Sorting the List

- ▶ observe what happens if you repeat the process with the sublist made up of the second through last elements:



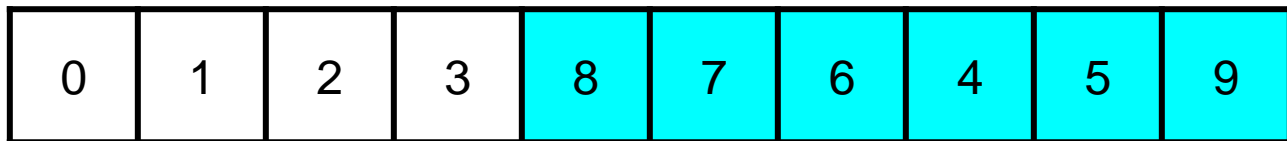
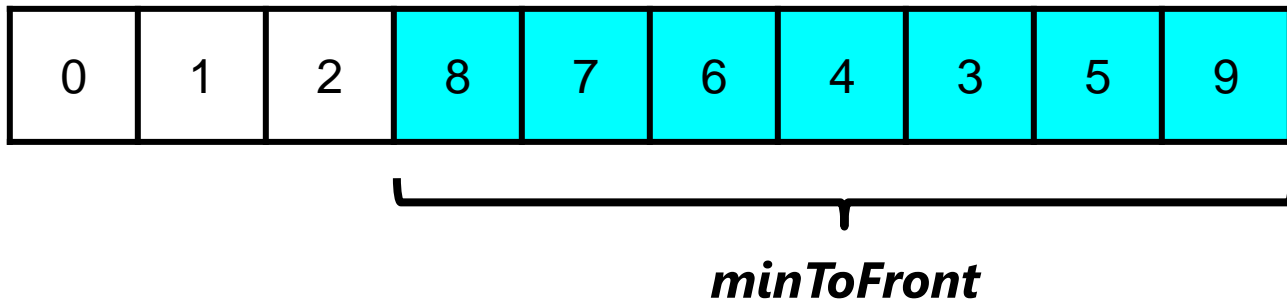
Sorting the List

- ▶ observe what happens if you repeat the process with the sublist made up of the third through last elements:



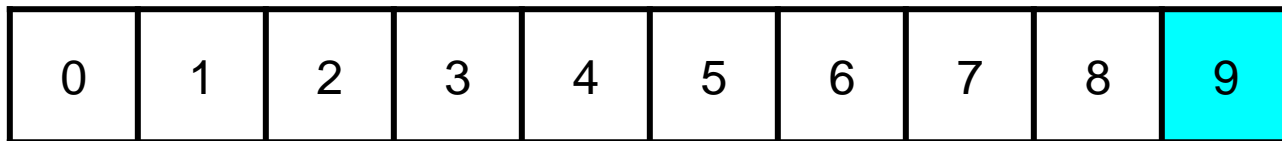
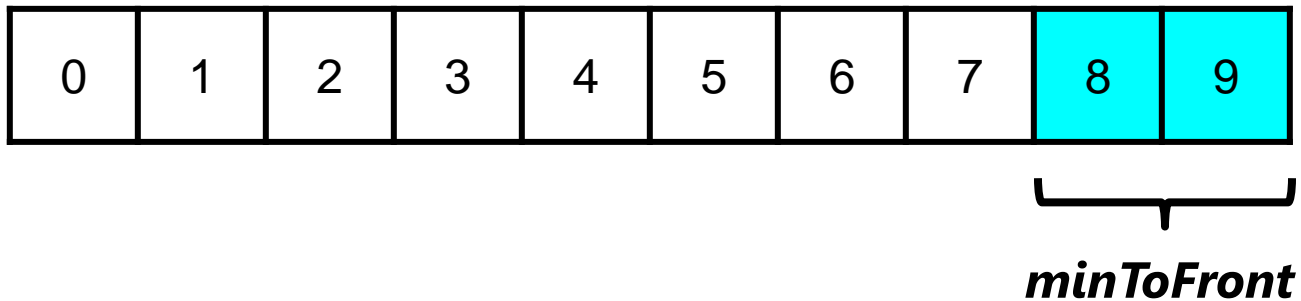
Sorting the List

- ▶ observe what happens if you repeat the process with the sublist made up of the fourth through last elements:



Sorting the List

- ▶ if you keep calling `minToFront` until you reach a sublist of size two, you will sort the original list:



- ▶ this is the *selection sort* algorithm

Selection Sort

```
public class Sort {
```

```
// minToFront not shown
```

```
public static void selectionSort(List<Integer> t) {
```

```
    if (t.size() > 1) {
```

```
        Sort.minToFront(t);
```

```
        Sort.selectionSort(t.subList(1, t.size()));
```

```
    }
```

```
}
```

```
}
```

Selection Sort

- ▶ there are only two steps in the selection sort algorithm
 1. move the smallest element in the list to the front
 - ▶ this has complexity $O(n)$
 2. recursively selection sort the sublist of size $(n - 1)$
- ▶ let $T(n)$ be the number of operations needed to selection sort a list of size n
 - ▶ then the recurrence relation is:

$$T(n) = T(n - 1) + O(n)$$

- ▶ solving the recurrence results in

$$T(n) = O(n^2)$$

Quicksort

- ▶ quicksort, like mergesort, is a divide and conquer algorithm for sorting a list or array
- ▶ it can be described recursively as follows:
 1. choose an element, called the *pivot*, from the list
 2. reorder the list so that:
 - ▶ values less than the pivot are located before the pivot
 - ▶ values greater than the pivot are located after the pivot
 3. quicksort the sublist of elements before the pivot
 4. quicksort the sublist of elements after the pivot

Quicksort

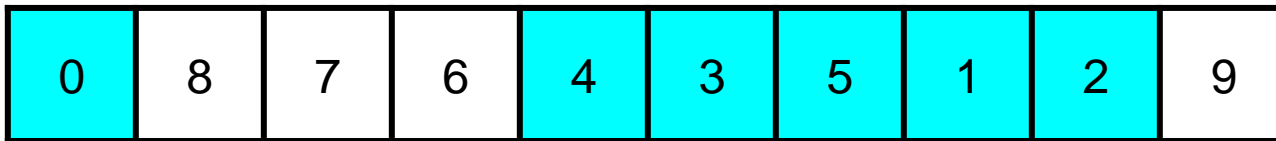
- ▶ step 2 is called the *partition* step
- ▶ consider the following list of unique elements

0	8	7	6	4	3	5	1	2	9
---	---	---	---	---	---	---	---	---	---

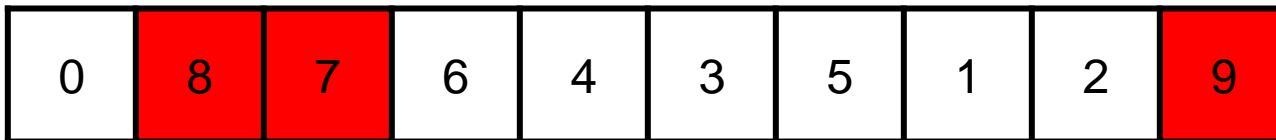
- ▶ assume that the pivot is 6

Quicksort

- ▶ the partition step reorders the list so that:
 - ▶ values less than the pivot are located before the pivot
 - ▶ we need to move the cyan elements before the pivot

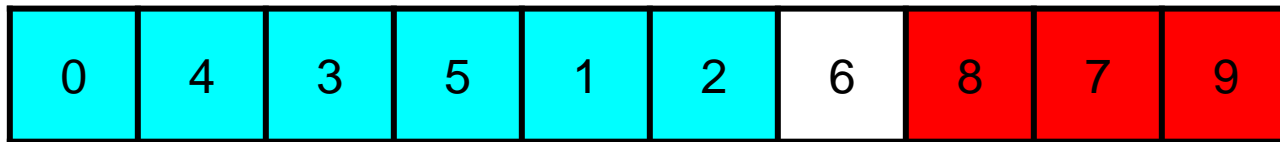


- ▶ values greater than the pivot are located after the pivot
 - ▶ we need to move the red elements after the pivot



Quicksort

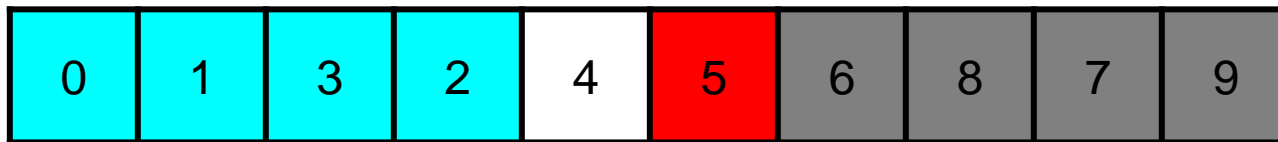
- ▶ after partitioning the list looks like:



- ▶ partitioning has 3 results:
 - ▶ the pivot is in its correct final sorted location
 - ▶ the **left** sublist contains only elements less than the pivot
 - ▶ the **right** sublist contains only elements greater than the pivot

Quicksort

- ▶ after partitioning we recursively quicksort the left sublist
- ▶ for the left sublist, let's assume that we choose 4 as the pivot
 - ▶ after partitioning the left sublist we get:



- ▶ we then recursively quicksort the **left** and **right** sublists
 - and so on...

Quicksort

- ▶ eventually, the left sublist from the first pivoting operation will be sorted; we then recursively quicksort the right sublist:



- ▶ if we choose 8 as the pivot and partition we get:



- ▶ the left and right sublists have size 1 so there is nothing left to do

Quicksort

- ▶ the computational complexity of quicksort depends on:
 - ▶ the computational complexity of the partition operation
 - ▶ without proof I claim that this is $O(n)$ for a list of size n
 - ▶ how the pivot is chosen

Quicksort

- ▶ let's assume that when we choose a pivot we always choose the smallest (or largest) value in the sublist
 - ▶ yields a sublist of size $(n - 1)$ which we recursively quicksort
- ▶ let $T(n)$ be the number of operations needed to quicksort a list of size n when choosing a pivot as described above
 - ▶ then the recurrence relation is:

$$T(n) = T(n - 1) + O(n) \quad \text{same as selection sort}$$

- ▶ solving the recurrence results in

$$T(n) = O(n^2)$$

Quicksort

- ▶ let's assume that when we choose a pivot we always choose the median value in the sublist
 - ▶ yields 2 sublists of size $\left(\frac{n}{2}\right)$ which we recursively quicksort
- ▶ let $T(n)$ be the number of operations needed to quicksort a list of size n when choosing a pivot as described above
 - ▶ then the recurrence relation is:

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n) \quad \text{same as merge sort}$$

- ▶ solving the recurrence results in

$$T(n) = O(n \log_2 n)$$

Binary Search

- ▶ one reason that we care about sorting is that it is much faster to search a sorted list compared to sorting an unsorted list
- ▶ the classic algorithm for searching a sorted list is called *binary search*
- ▶ to search a list of size n for a value v :
 - ▶ look at the element e at index $\left(\frac{n}{2}\right)$
 - ▶ if $e > v$ recursively search the sublist to the left
 - ▶ if $e < v$ recursively search the sublist to the right
 - ▶ if $e == v$ then done

Binary Search

- ▶ consider the sorted list of size $n = 9$

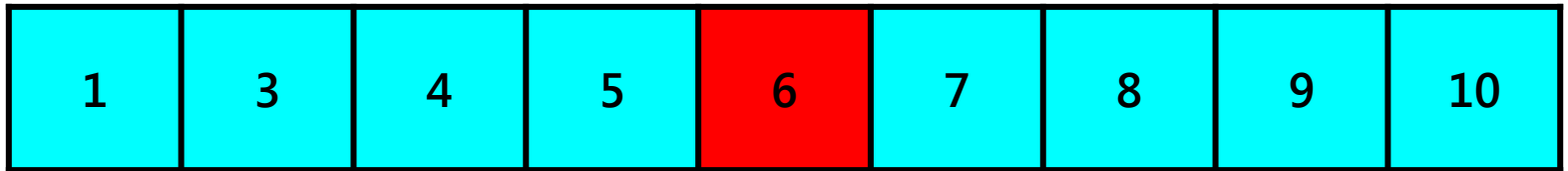
1	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	----

sublist
index

0 1 2 3 4 5 6 7 8

Binary Search

- ▶ search for $v = 3$



index 0 1 2 3 4 5 6 7 8

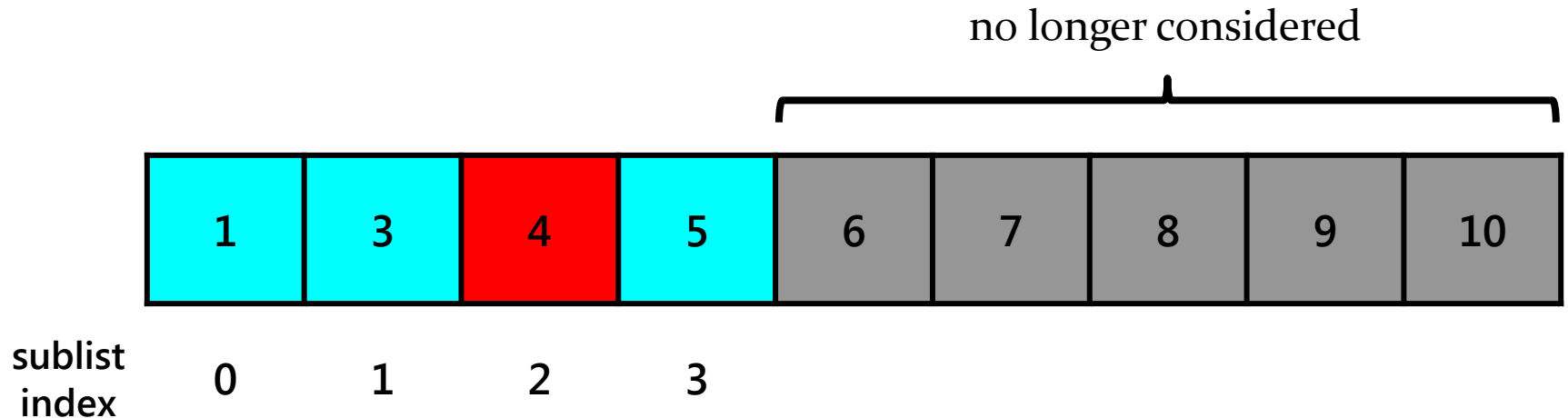
$$mid = \frac{9}{2} = 4$$

$$e = 6$$

$v < e$, recursively search the left sublist

Binary Search

- ▶ search for $v = 3$



$$mid = \frac{4}{2} = 2$$

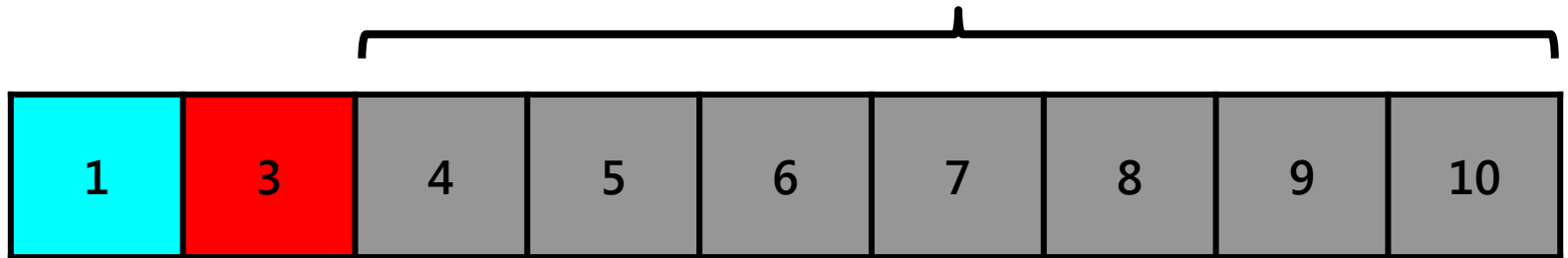
$$e = 4$$

$v < e$, recursively search the left sublist

Binary Search

- ▶ search for $v = 3$

no longer considered



sublist
index

0

1

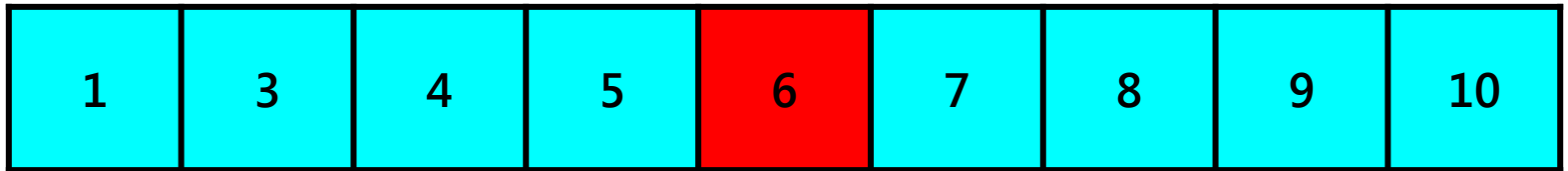
$$mid = \frac{2}{2} = 1$$

$$e = 3$$

$$v == e, \text{ done}$$

Binary Search

- ▶ search for $v = 2$



sublist
index

0 1 2 3 4 5 6 7 8

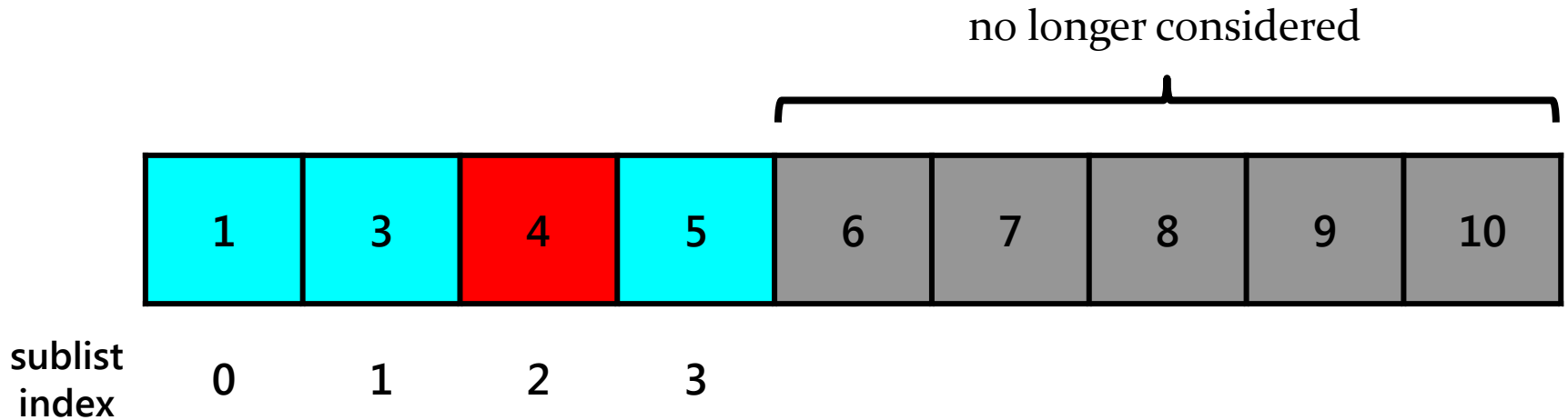
$$mid = \frac{9}{2} = 4$$

$$e = 6$$

$v < e$, recursively search the left sublist

Binary Search

- ▶ search for $v = 2$



$$mid = \frac{4}{2} = 2$$

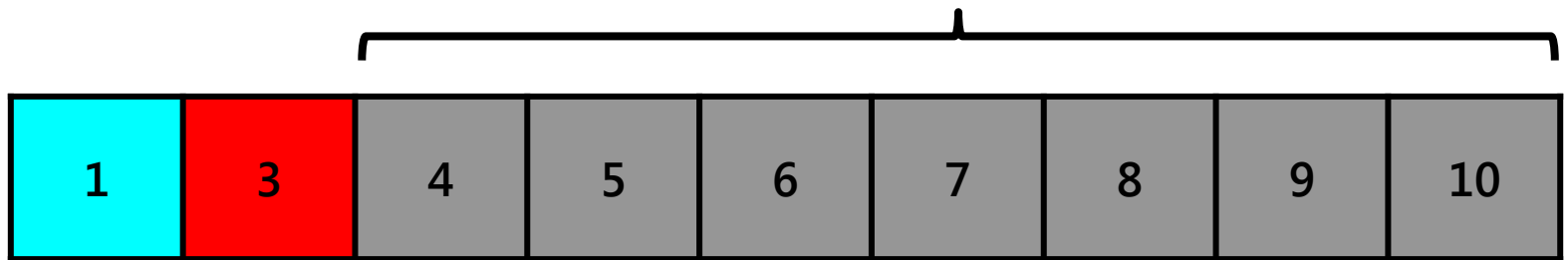
$$e = 4$$

$v < e$, recursively search the left sublist

Binary Search

► search for $v = 2$

no longer considered



sublist
index

0 1

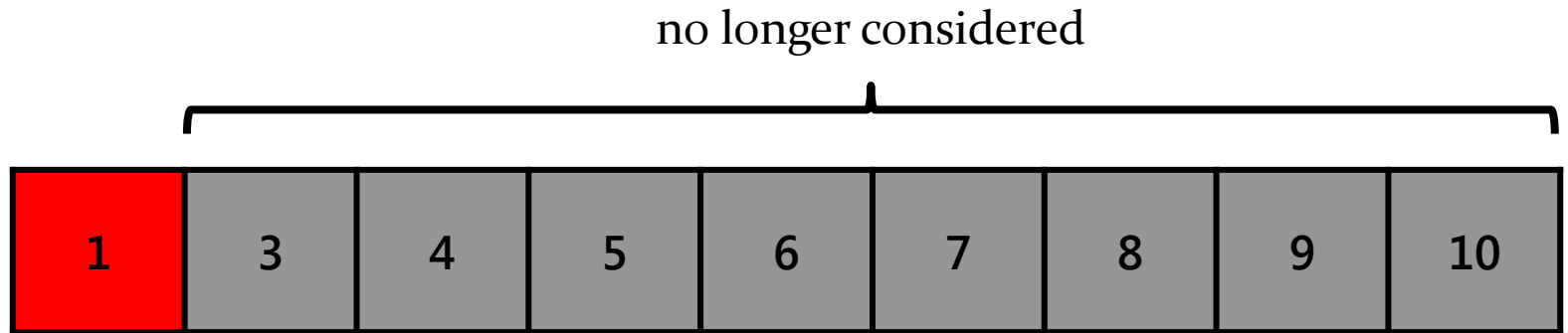
$$mid = \frac{2}{2} = 1$$

$$e = 3$$

$v < e$, recursively search the left sublist

Binary Search

- ▶ search for $v = 2$



sublist
index 0

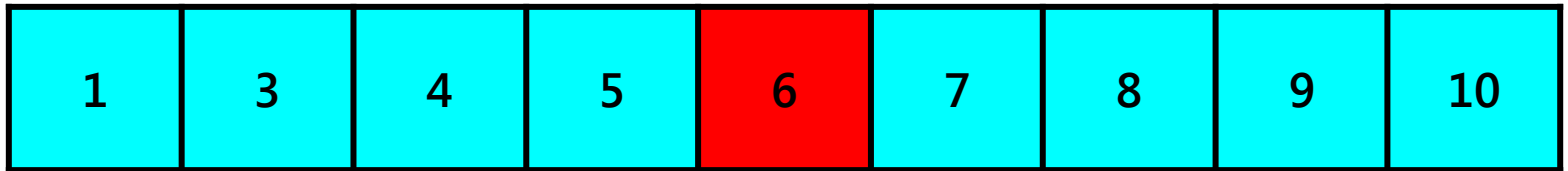
$$mid = \frac{1}{2} = 0$$

$$e = 1$$

$v > e$, recursively search the right sublist; right sublist is empty, done

Binary Search

► search for $v = 9$



sublist
index

0 1 2 3 4 5 6 7 8

$$mid = \frac{9}{2} = 4$$

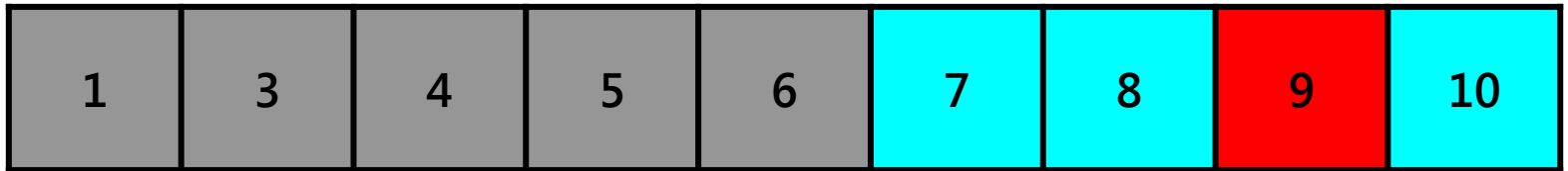
$$e = 6$$

$v > e$, recursively search the right sublist



Binary Search

► search for $v = 9$



sublist
index

0 1 2 3

$$mid = \frac{4}{2} = 2$$

$$e = 9$$

$$v == e, \text{ done}$$

```
/**
 * Searches a sorted list of integers for a given value using binary search.
 *
 * @param v the value to search for
 * @param t the list to search
 * @return true if v is in t, false otherwise
 */
public static boolean contains(int v, List<Integer> t) {
    if (t.isEmpty()) {
        return false;
    }
    int mid = t.size() / 2;
    int e = t.get(mid);
    if (e == v) {
        return true;
    }
    else if (v < e) {
        return Sort.contains(v, t.subList(0, mid));
    }
    else {
        return Sort.contains(v, t.subList(mid + 1, t.size()));
    }
}
```

Binary Search

- ▶ what is the recurrence relation?
- ▶ what is the big-O complexity?