## CS345 Notes for Lecture 10/14/96

#### Conjunctive Queries

= safe, datalog rules:

$$H : - G_1 \& \cdots \& G_n$$

- Most common form of query; equivalent to select-project-join queries.
- Useful for optimization of active elements ("triggers," constraints, instantiated views).
- Useful for information integration.

#### Containment

 $Q_1 \subseteq Q_2$  iff for every database D,  $Q_1(D) \subseteq Q_2(D)$ .

- Remember, Q(D) is what we get by making all possible substitutions for variables of Q. If a substitution turns all subgoals of Q's body to facts in D, then the head of Q, with this substitution, is in Q(D).
- Containment problem for CQ's is central. Problem is NP-complete, but not a "hard" problem in practical situations (short queries, few pairs of subgoals with same predicate).
- Function symbols do not make problems more difficult.
- Adding negated subgoals and/or arithmetic subgoals, e.g., X < Y, makes things more complex, but important special cases.

## Example:

$$A: p(X,Y) := r(X,W) & b(W,Z) & r(Z,Y)$$
  
 $B: p(X,Y) := r(X,W) & b(W,W) & r(W,Y)$ 

 $B \subseteq A$ . In proof, suppose p(x,y) is in B(D). Then there is some w such that r(x,w), b(w,w), and r(w,y) are in D. In A, make the substitution

$$X \to x, Y \to y, W \to w, Z \to w$$

Thus, the head of A becomes p(x, y), and all subgoals of A are in D. Thus, p(x, y) is also in A(D), proving  $B \subseteq A$ .

#### Testing Containment of CQ's

- 1. Containment mappings.
- 2. Canonical databases.
- Similar for basic CQ case, but (2) is useful for more general cases like negated subgoals.

#### Containment Mappings

Mapping from variables of CQ  $Q_2$  to variables of CQ  $Q_1$  such that

- 1. Head of  $Q_2$  becomes head of  $Q_1$ .
- 2. Each subgoal of  $Q_2$  becomes a subgoal of  $Q_1$ .
- It is not necessary that every subgoal of  $Q_1$  is the target of some subgoal of  $Q_2$ .

**Example:** A, B as above. Containment mapping from A to  $B: X \to X, Y \to Y, W \to W, Z \to W$ .

No containment mapping from B to A. Subgoal b(W, W) in B can only go to b(W, Z) in A. That would require both  $W \to W$  and  $W \to Z$ .

### Example:

$$C_1$$
: p(X) :- a(X,Y) & a(Y,Z) & a(Z,W)  $C_2$ : p(X) :- a(X,Y) & a(Y,X)

Containment mapping  $C_1 \to C_2$ :

$$X \to X, Y \to Y, Z \to X, W \to Y$$

- No containment mapping  $C_2 \to C_1$ . Proof:
  - a)  $X \to X$  required for head.
  - b) Thus, first subgoal of  $C_2$  must map to first subgoal of  $C_1$ ; Y must map to Y.
  - c) Similarly, 2nd subgoal of  $C_2$  must map to 2nd subgoal of  $C_1$ , so X must map to Z.
  - d) But we already found X maps to X.

#### Containment Mapping Theorem

 $Q_1 \subseteq Q_2$  iff there exists a containment mapping from  $Q_2$  to  $Q_1$ .

## Proof (If)

Let  $\mu: Q_2 \to Q_1$  be a containment mapping. Let D be any DB.

- Every tuple t in  $Q_1(D)$  is produced by some substitution  $\sigma$  on the variables of  $Q_1$  that makes  $Q_1$ 's subgoals all become facts in D.
- Claim:  $\sigma \circ \mu$  is a substitution for variables of  $Q_2$  that produces t.
  - 1.  $\sigma \circ \mu(F_i) = \sigma(\text{some } G_j)$ . Therefore, it is in D.
  - $2. \quad \sigma \circ \mu(H_2) = \sigma(H_1) = t.$
- Thus, every t in  $Q_1(D)$  is also in  $Q_2(D)$ ; i.e.,  $Q_1 \subseteq Q_2$ .

## Proof (Only If)

Key idea: frozen CQ.

- 1. Create a unique constant for each variable of the CQ Q.
- 2. Frozen Q is a database consisting of all the subgoals of Q, with the chosen constants substituted for variables.

# Example:

$$p(X) := a(X,Y) & a(Y,Z) & a(Z,W)$$

Let x be the constant for X, etc. The relation for predicate a consists of the three tuples (x, y), (y, z), and (z, w).

The proof: Let  $Q_1 \subseteq Q_2$ . Let database D be the frozen  $Q_1$ .

•  $Q_1(D)$  contains t, the "frozen" head of  $Q_1$  (sounds gruesome, but the reason is that we can use the substitution in which each variable of  $Q_1$  is replaced by its corresponding constant).

- Since  $Q_1 \subseteq Q_2$ ,  $Q_2(D)$  must also contain t.
- Let  $\sigma$  be the substitution of constants from D for the variables of  $Q_2$  that makes each subgoal of  $Q_2$  a tuple of D and yields t as the head.
- Let  $\sigma'$  be the substitution that maps each variable X of  $Q_2$  to the variable of  $Q_1$  that corresponds to the constant  $\sigma(X)$ .
- $\sigma'$  is a containment mapping from  $Q_2$  to  $Q_1$  because:
  - a) The head of  $Q_2$  is mapped by  $\sigma$  to t, and t is the frozen head of  $Q_1$ , so  $\sigma'$  maps the head of  $Q_2$  to the "unfrozen" t, that is, the head of  $Q_1$ .
  - b) Each subgoal  $F_i$  of  $Q_2$  is mapped by  $\sigma$  to some tuple of D, which is a frozen version of some subgoal  $G_j$  of  $Q_1$ . Then  $\sigma'$  maps  $F_i$  to the unfrozen tuple, that is, to  $G_j$  itself.

## **Dual View of Containment Mappings**

A containment mapping, defined as a mapping on variables, induces a mapping on subgoals.

- Therefore, we can alternatively define a containment mapping as a function on subgoals, thus inducing a mapping on variables.
- The containment mapping condition becomes: the subgoal mapping does not cause a variable to be mapped to two different variables or constants, nor cause a constant to be mapped to a variable or a constant other than itself.

Example: Again consider

$$A: p(X,Y) := r(X,W) & b(W,Z) & r(Z,Y)$$
  
 $B: p(X,Y) := r(X,W) & b(W,W) & r(W,Y)$ 

Previously, we found the containment mapping  $X \to X$ ,  $Y \to Y$ ,  $W \to W$ ,  $Z \to W$  from A to B.

• We could as well describe this mapping as  $r(X,W) \to r(X,W), \ b(W,Z) \to b(W,W),$  and  $r(Z,Y) \to r(W,Y).$ 

#### Method of Canonical Databases

Instead of looking for a containment mapping from  $Q_2$  to  $Q_1$  in order to test  $Q_1 \subseteq Q_2$ , we can apply the following test:

- 1. Create a canonical database D that is the frozen body of  $Q_1$ .
- 2. Compute  $Q_2(D)$ .
- 3. If  $Q_2(D)$  contains the frozen head of  $Q_1$ , then  $Q_1 \subseteq Q_2$ ; else not.
- The proof that this method works is essentially the same as the argument for containment mappings.
  - $\square$  The only way the frozen head of  $Q_1$  can be in  $Q_2(D)$  is for there to be a containment mapping  $Q_2 \to Q_1$ .

## Example:

- Test  $C_2 \subseteq C_1$ .
- Choose constants  $X \to 0, Y \to 1$ .
- Canonical DB from  $C_1$  is

$$D = \{a(0,1), a(1,0)\}$$

- $C_1(D) = \{p(0), p(1)\}.$
- Since the frozen head of  $C_2$  is p(0), which is in  $C_1(D)$ , we conclude  $C_2 \subseteq C_1$ .
- Note that the instantiation of  $C_1$  that shows p(0) is in  $C_1(D)$  is  $X \to 0, Y \to 1, Z \to 0$ , and  $W \to 1$ .
  - ☐ If we replace 0 and 1 by the variables X and Y they stand for, we have the containment mapping from  $C_1$  to  $C_2$ .