

**Math/CSE 1019C:
Discrete Mathematics for Computer Science
Fall 2012**

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Course page:
<http://www.cse.yorku.ca/course/1019>

1

- ▶ Final Exam
 - Time: Dec 20th, 2pm
 - Location: TM TMEAST
- ▶ Assignment 6 is released!

2

Analysis of Algorithms

- ▶ Measures of efficiency:
 - Running time
 - Space used (Not included in this course)
- ▶ Efficiency as a function of input size
 - Number of data elements (numbers, points)
 - Number of bits in an input number
 - Example: Find the factors of a number n
 - Example: Determine if an integer n is prime
 - Example: Find the max in $A[1..n]$

3

- ▶ What operations are counted?

- Arithmetic (add, subtract, multiply, etc.)
- Data movement (assign)
- Control (branch, subroutine call, return)
- Comparison

4

Analysis of Find-max

- ▶ COUNT the number of cycles (running time) as a function of the input size

Find-max (A)	cost	times
1. max ← A[1]	c_1	1
2. for j ← 2 to length(A)	c_2	n
3. do if (max < A[j])	c_3	$n-1$
4. max ← A[j]	c_4	$0 \leq k \leq n-1$
5. return max	c_5	1

- Running time (upper bound): $c_1 + c_5 - c_3 - c_4 + (c_2 + c_3 + c_4)n$
- Running time (lower bound): $c_1 + c_5 - c_3 - c_4 + (c_2 + c_3)n$

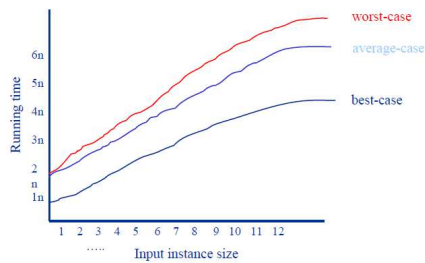
5

Best/Worst/Average Case Analysis

- ▶ **Best case:** A[1] is the largest element.
- ▶ **Worst case:** elements are sorted in increasing order
- ▶ **Average case:** ? Depends on the input characteristics
- ▶ What do we use?
- ▶ Worst case or Average-case is usually used:
 - Worst-case is an upper-bound; in certain application domains (e.g., air traffic control, surgery) knowing the worst-case time complexity is of crucial importance
 - Finding the average case can be very difficult; needs knowledge of input distribution.
 - Best-case is not very useful

6

Best/Worst/Average Case



7

- ▶ Running time upper bound: $c_1 + c_5 - c_3 - c_4 + (c_2 + c_3 + c_4)n$
- ▶ What are the values of c_i ?
 - Machine-dependent
 - Constant to n
- ▶ A simpler expression: $a + bn$
- ▶ The running time is $\Theta(n)$
 - $a + bn$ is $O(n)$
 - \exists constants C and k such that $\forall n > k$ $a + bn \leq Cn$
 - $a + bn$ is $\Omega(n)$
 - \exists constants C and k such that $\forall n > k$ $a + bn \geq Cn$

8

▶ Bounds on running time

- 1. $O()$ is used for upper bounds "grows slower than"
 - 2. $\Omega()$ used for lower bounds "grows faster than"
 - 3. $\Theta()$ used for denoting matching upper and lower bounds. "grows as fast as"
- ▶ The rules for getting the running time are
- 1. Throw away all terms other than the most significant one
 - 2. Throw away the constant factors.
 - 3. The expression is $\Theta()$ of whatever's left.

9

Sorting and Searching

- ▶ Very basic operations
- ▶ Used very, very often in real applications
- ▶ LOTS of new ideas

10

Searching an array

- ▶ Given an array $A[1..n]$ does there exist a number (key) x ?
- ▶ Unsorted array: linear search
 - **Input:** $A[1..n]$: array of distinct integers; x : an integer.
 - **Output:** The location of n in $A[1..n]$, or 0 if n is not found.
- ▶ **LinearSearch(A, x)**
 - $j=1$
 - Loop
 - <loop invariant>: x is not in the scanned subarray.
 - Exit when $j > n$ or $x = A[j]$
 - $j=j+1$
 - End loop
 - if $j \leq n$ then return j
 - else return 0

11

Proof using loop invariant

Loop

- ▶ **Input**
- ▶ **Loop**
 - Loop Invariant I
 - Exit when E
 - <code>
- ▶ **End Loop**
- ▶ **Output**

Prove its correctness

- ▶ Step 1. Basis case: **Input** $\rightarrow I$
- ▶ Step 2. Inductive Step: Assume $I(i)$ is true before the i th iteration. Prove it is true after $i+1$ iteration.

$$I(i) \wedge I \rightarrow I(i+1)$$
- ▶ Step 3. Show loop terminate and return the correct results.

$$I \wedge E \rightarrow \text{Output}$$

12

LinearSearch(A,x)

```

j=1
Loop
  <loop invariant>: x is not in the scanned subarray.
  Exit when j>n or x=A[j]
  j=j+1
End loop
if j<=n then return j
else return 0
    
```

- ▶ Proof by using loop invariant.
 - Basis Case: $j=1$. No element is scanned.
 - Inductive Step: Assume x is not in the scanned subarray $A[1..j-1]$. Prove x is not in $A[1..j]$ if the loop does not terminate. Prove the output is correct if the loop terminates.
 - If the loop does not terminate.
 - $j <= n$ and $x = A[j]$. Then x is not in $A[1..j]$.
 - If the loop terminates.
 - $j > n$ or $x = A[j]$
 - If $j > n$, then $j = n + 1$. By loop invariant, x is not in $A[1..n]$. The output 0 is correct.
 - If $x = A[j]$, the n j is returned. The output j is correct.

13

LinearSearch(A,x)

```

j=1
Loop
  <loop invariant>: x is not in the scanned subarray.
  Exit when j>n or x=A[j]
  j=j+1
End loop
if j<=n then return j
else return 0
    
```

- ▶ Running Time?
 - Outside the loop: Constant $O(C)$
 - The loop: $O(n)$
 - Overall: $O(n)$

14

- ▶ Sorted array: Can we do better?
- ▶ Binary search: Use the sorted property to eliminate large parts of the array
 - Input: $L[1..n]$: a sorted array $L(i) < L(j)$ if $1 \leq i < j \leq n$; x : an integer.
 - Output: The location of x in $A[1..n]$, or 0 if x is not found.
- ▶ BinarySearch(L,x)
 - $i=1, j=n$
 - Loop
 - <loop invariant>: If x is in $L[1..n]$, then x is in $L[i..j]$.
 - Exit when $j < i$
 - $mid = (i+j)/2$
 - If $(x \leq L[mid])$ then
 - $j = mid$
 - Else
 - $i = mid + 1$
 - End if
 - End loop
 - if $(x = L(i))$ then return i
 - else return 0

Running time?
 $O(\log n)$

15

- ▶ By preprocessing (sorting) the data into a data structure (sorted array), we were able to speed up search queries. Very common idea in Computer Science
- ▶ Many other data structures are commonly used: linked lists, trees, hash tables,....
- ▶ CSE 2011: Data Structures
- ▶ CSE 4101: Advanced Data Structures

16

Sorting

- Input: $A[1..n]$: array of distinct numbers
- Output: $A[1..n]$: a sorted array $A(i) < A(j)$ if $1 \leq i < j \leq n$

- ▶ Simple algorithm using FindMax
 1. $j=n$
 2. while $(j > 1)$
 3. $maxindex = \text{index of max } A[1..j]$
 4. swap $(A[maxindex], A[j])$
 5. $j=j-1$
 6. }
- ▶ Proof and Running time? $O(n^2)$

17

Sorting: Insertion sort

- ▶ We maintain a subset of elements sorted within a list.
 - Initially, think of the first element in the array as a sorted list of length one.
 - One at a time, we take one of the elements (from the original list) and we insert it into the sorted list where it belongs. This gives a sorted list that is one element longer than it was before.
 - When the last element has been inserted, the array is completely sorted.



```

for j=2 to length(A)
  do key=A[j]
  i=j-1
  while i>0 and A[i]>key
    do A[i+1]=A[i]
    i--
  A[i+1]=key
    
```

← Insert $A[j]$ into the sorted list $A[1..j-1]$

18

```

for j=2 to length(A)
  do key=A[j]
  i=j-1
  while i>0 and A[i]>key
    do A[i+1]=A[i]
    i--
    A[i+1]:=key

```

← Insert A[j] into the sorted list A[1..j-1]

Loop Invariant: at the start of each for loop, A[1...j-1] consists of elements originally in A[1...j-1] but in sorted order

▶ Proof:

- Basis Step: j = 2, the invariant holds because A[1] is a sorted array.

19

```

for j=2 to length(A)
  do key=A[j]
  i=j-1
  while i>0 and A[i]>key
    do A[i+1]=A[i]
    i--
    A[i+1]:=key

```

← Insert A[j] into the sorted list A[1..j-1]

Loop Invariant: at the start of each for loop, A[1...j-1] consists of elements originally in A[1...j-1] but in sorted order

- Inductive Step: Assume elements in A[1...j-1] are sorted.
 - The inner while loop moves elements A[j-1], A[j-2], ..., A[k] one position right without changing their order.
 - Then the former A[j] element is inserted into kth position so that $A[k-1] \leq A[k] \leq A[k+1]$.
 - A[1...j] is sorted.

20

```

for j=2 to length(A)
  do key=A[j]
  i=j-1
  while i>0 and A[i]>key
    do A[i+1]=A[i]
    i--
    A[i+1]:=key

```

← Insert A[j] into the sorted list A[1..j-1]

Loop Invariant: at the start of each for loop, A[1...j-1] consists of elements originally in A[1...j-1] but in sorted order

- Termination: the loop terminates, when j=n+1.
 - By loop invariant: "A[1...n] consists of elements originally in A[1...n] but in sorted order"
 - The output A[1..n] is correctly sorted.

21

Analysis of Insertion Sort

▶ Let's compute the running time as a function of the input size.

for j←2 to n	c ₁	n
do key←A[j]	c ₂	n-1
i←j-1	c ₃	n-1
while i>0 and A[i]>key	c ₄	$\sum_{j=2}^n (r_j - 1)$
do A[i+1]←A[i]	c ₅	$\sum_{j=2}^n (r_j - 1)$
i ← i-1	c ₆	n-1
A[i+1] ← key	c ₇	n-1

▶ What is the running time?
O(n²)

22

Many, many other sorts

- ▶ bubble sort O(n²)
- ▶ merge sort, quick sort, heap sort O(n log n)
- ▶ Linear time sorts (require certain type of input): counting sort, radix sort, bucket sort.

23

Greedy Algorithm

- ▶ Optimization problems
 - Find a solution to the given problem that either minimizes or maximizes the value of some parameters.
- ▶ Greedy Algorithm
 - ▶ Select the best choice at each step
 - ▶ Does the solution always be optimal?

24

Greedy Algorithm

- ▶ Example: Want to make change for ANY amount using the fewest number of coins
- ▶ Simple “greedy” algorithm: keep using the largest denomination possible
 - Works for our coins: 1,5,10, 25,100.
 - Does it always work?
 - Fails for the following coins: 1,5,7,10
 - e.g: $14 = 10 + 1 + 1 + 1 + 1 + 1$, $14 = 7 + 7$

25

- ▶ Prove the greedy algorithm works for {1,5,10, 25,100}.

- Lemma 1. Using the fewest coins possible has at most three 25(quarters), two 10(dimes), one 5(nickels), four 1(cents), and can not have two 10 and one 5 together.
- Proof by contradiction: If we have more than any above numbers, then we can replace them with fewer coins.

26

- ▶ Prove the greedy algorithm works for {1,5,10, 25,100}.

▶ Proof by contradiction:

- ▶ Assume there is an integer n , such that there is a way to make changes using less coins than the greedy algorithm.
- ▶ Suppose different numbers for 100 (dollars): x dollars for greedy algorithm, and y dollars for the optimal solution
 - ▶ By greedy algorithm $x \geq y$
 - ▶ If $x > y$, then we need to make up at least 100 from {1,5,10, 25}. This is impossible by lemma 1.
- ▶ Similarly we can prove the greedy solution and the optimal solution won't have different numbers for {1,5,10, 25}.
- ▶ Q.E.D.

27

Goals

- ▶ Understand existing classic algorithms
- ▶ Design simple algorithms
- ▶ Prove the correctness of an algorithm
 - Loop Invariant
- ▶ Analyze the time complexity of an algorithm

28